PISA 2015

DRAFT COLLABORATIVE PROBLEM SOLVING FRAMEWORK

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INTRODUCTION

The Importance of Collaborative Problem Solving

1. Collaborative Problem Solving (CPS) is a critical and necessary skill across educational settings and in the workforce. While problem solving as defined for PISA 2012 (OECD, 2010) relates to individuals working alone on resolving problem situations where a method of solution is not immediately obvious, in CPS groups of individuals join their understandings and efforts and work together on solving these problem situations. Collaboration has distinct advantages over individual problem solving because it allows for

- an effective division of labour
- the incorporation of information from multiple sources of knowledge, perspectives, and experiences
- enhanced creativity and quality of solutions stimulated by ideas of other group members.

2. Collaboration has been defined as a “coordinated, synchronous activity that is the result of a continued attempt to construct and maintain a shared conception of a problem” (Roschelle, & Teasley, 1995, p. 70). Social interaction is a vital but insufficient condition for collaboration because some social interactions do not involve shared goals, accommodation of different perspectives, and organised attempts to achieve the goals.

3. There is a growing emphasis in state and national educational systems on project-based and inquiry-oriented learning (National Research Council, 2011). This includes shaping curriculum and instruction around critical thinking, problem solving, self-management and collaboration skills (Darling-Hammond 2011; Halpern, 2003). Project-based work often includes educational tasks that require multiple students working together to achieve a team goal, such as a final report, integrated analyses, or a joint presentation. Collaborative problem solving is not typically taught as an independent skill that is separable from particular content domains. Therefore, in school-based contexts, collaborative learning exercises are often integrated into domain-specific courses of study, such as the sciences, mathematics and history.

4. Recent curriculum and instruction reform approaches have focused to a greater extent on teaching and assessment of 21st century skills (Griffin, et al., 2011; National Research Council, 2011). These skills have included critical thinking, problem-solving, self-management, Information and Communication Technology (ICT) skills, communication and collaboration (Binkley at al. 2011 for a review; OECD 2011). Collaboration and communication skills are central to these 21st century skills and are described in a number of 21st century skills curriculum and assessment reports.

5. For example, the focal point of Singapore’s third IT Masterplan (MP3, 2009-2014) is to facilitate a greater level of technological integration in curriculum, assessment and pedagogy in order to equip students with critical competencies, such as self-directed learning and collaboration skills (Ministry of Education Singapore, 2008). Similarly, the Israeli national program (Adapting the educational system to the 21st Century, Ministry of Education, 2011) is a multiple year program with the goal of leading the implementation of innovative pedagogy in schools, including communication,
collaboration, and other 21st century skills. However, many of these curricula provide general frameworks and very general descriptions of the goals and curriculum standards without elaborated standards that target specific collaboration skills per se (Darling-Hammond, 2011).

6. The requirements for teaching and assessing collaborative problem solving skills are strongly driven by the need for students to prepare for careers that require abilities to work effectively in groups and to apply their problem solving skills in these social situations (Brannick & Prince, 1997; Griffin, et al., 2011; National Research Council, 2011; Rosen & Rimor, 2012). Much of the problem-solving work carried out in the world today is performed by teams in an increasingly global and computerised economy. There has been a marked shift from manufacturing to a greater emphasis on information and knowledge services. However, even in manufacturing, work is seldom conducted by individuals without working with others. Moreover, with greater availability of networked computers, individuals are increasingly expected to work with diverse teams spread across different locations using collaborative technology (Kanter, 1994; Salas, Cooke, & Rosen, 2008).

7. The University of Phoenix Research Institute identified virtual collaboration, i.e., the “ability to work productively, drive engagement, and demonstrate presence as a member of a virtual team” (Davis, Fidler & Gorbis, 2011, p. 12), as one of ten key skills for the future workforce. A recent Forrester report, based on a survey of information and knowledge management decision-makers from 921 North American and European enterprises, revealed that 94% had implemented or were going to implement some form of collaboration technologies, including email, web conferencing, team workspaces, instant messaging or Videoconferencing (Enterprise and SMB Software Survey, North America and Europe, Q42009 Forrester report). CPS skills are further needed in civic contexts such as social networking, volunteering, participation in community life, and transactions with administration and public services. Thus, students emerging from schools into the workforce and public life will be expected to have collaborative problem solving skills as well as the ability to perform that collaboration using appropriate technology.

8. The need for teams to have good collaboration among their members is crucial to the success of groups, families, corporations, public institutions, organisations, and government agencies. One uncooperative member of a team can have serious negative consequences on team success whereas a good leader can be a positive catalyst. Skilled collaboration and social communication facilitate performance in the workplace (Klein, DeRouin, & Salas, 2006; Salas, Cooke, & Rosen, 2008), engineering and software development (Sonnetag & Lange, 2002), and interdisciplinary research among scientists (Nash et al., 2003). This is clearly apparent from the trend in research publications. Wuchty, Jones, and Uzzi (2007) examined 19.9 million papers over five decades to demonstrate that there is a growing trend of publications from teams by multiple authors and papers from teams of authors end up higher in citation indices than papers from solo authors.

9. The competencies assessed in the PISA 2015 Collaborative Problem Solving (CPS) assessment therefore need to reflect the collaborative skills found in project-based learning in schools and in collaboration in workplace and civic settings, as described above. In such settings students are expected to be proficient in skills such as communicating, managing conflict, organising a team, building consensus and managing progress.

10. One major factor that contributes to the success of CPS is effective communication among team members (Dillenbourg & Traum, 2006; Fiore et al., 2010; Fiore & Schooler, 2004). Therefore, a first important part of the assessment in the CPS framework must be proficiency in communication: communicating the right information and reporting what actions have been taken to the right person at the right time. This allows students to build a shared understanding within the task. The competency includes taking the perspective of other team members, tracking the knowledge of team members, and building and monitoring a shared understanding of the progress of the task.

11. Second, students must be able to establish and maintain an effective organisation of the team. This includes understanding and assigning roles as well as maintaining and adapting the
organisation to be effective at achieving the goals. It includes handling disagreements, conflicts, obstacles to goals, and potential negative emotions (Barth & Funke, 2010; Dillenbourg, 1999; Rosen & Rimor, 2009).

12. Thirdly, students need to understand the type of collaboration and associated rules of engagement. The ground rules are different in contexts of helping, collaborative work, consensus building, win-win negotiations, debates, and hidden-profile jigsaw configurations (i.e., group members have different information that needs to be integrated en route to a solution).

13. Apart from defining the domain the CPS framework has to propose a way to operationalise the construct through computer-based assessment (CBA). The framework builds in part on the Problem Solving framework for PISA 2012 but extends it substantially in order to cover the additional concepts that need to be incorporated in order to develop and focus on the collaborative aspects of problem solving. Major elements of these collaborative aspects are group cognition and the communication skills required for effective interaction between group and individual cognitions.

14. The CPS framework incorporates definitions and theoretical constructs that are based on research and best practices from several areas where CPS-related skills have been assessed. These areas include computer-supported cooperative work, team discourse analysis, knowledge sharing, individual problem solving, organisational psychology, and assessment in work contexts (e.g., military teams, corporate leadership). The framework further incorporates information from existing assessments that can inform PISA 2015 CPS including Assessment and Teaching of 21st Century Skills (ATC21s), problem solving in the Programme for International Assessment of Adult Competencies (PIAAC), Partnership for 21st Century Skills, and PISA 2012 Problem Solving. (See Appendix B for a review).

15. The operationalisation of the framework described in section four requires a description of the major theoretical and logistical considerations for the design of an assessment. The framework cannot be developed independently of considerations of the assessment design and measurement requirements. The framework must take into account the types of technologies, tasks and assessment contexts in which it will be applied (Funke, 1998, Funke & Frensch, 2007). For assessment design, the framework must consider the kinds of constructs that can be reliably measured, and must provide valid inferences about the collaborative skills being measured and about their impact on success in today’s world. The CPS framework must also provide a basis for the development of computer-based assessments that will be deployed worldwide within the logistical constraints and time limits of an international assessment.

16. This document is organised into four primary sections. Following the Introduction, the section ‘Defining the domain’ provides a definition of collaborative problem solving. The section ‘Organisation of the domain’ describes how the domain of CPS is organised; it explains the skills and competencies needed for successful CPS as well as the factors that influence these skills. The section ‘Assessing collaborative problem solving competency’ operationalises the construct of CPS by identifying and justifying approaches to measuring CPS competencies and the contexts in which the skills can be assessed. It also describes the levels of proficiency for CPS and how they will be reported. Appendix A provides a summary of studies with conversational agents in tasks that involve tutoring, collaborative learning, co-construction of knowledge, and collaborative problem solving. Appendix B provides a literature review of the key concepts in CPS related to the definition, constructs and design decisions of PISA 2015 CPS framework.
DEFINING THE DOMAIN

Collaborative Problem Solving

17. The PISA 2003 Assessment Framework: Mathematics, Reading, Science and Problem Solving Knowledge and Skills (OECD, 2003) defines problem solving competencies as:

   ... an individual’s capacity to use cognitive processes to confront and resolve real, cross-disciplinary situations where the solution path is not immediately obvious and where the content areas or curricular areas that might be applicable are not within a single subject area of mathematics, science or reading.

18. The draft framework for the Problem Solving domain in PISA 2012 (OECD, 2010) largely reiterates the 2003 definition but adds an affective element:

   Problem solving competency is an individual’s capacity to engage in cognitive processing to understand and resolve problem situations where a method of solution is not immediately obvious. It includes the willingness to engage with such situations in order to achieve one’s potential as a constructive and reflective citizen.

19. In defining the domain of Collaborative Problem Solving for PISA 2015 the aspect of collaboration is obviously the most salient addition to previous versions of the domain of problem solving in PISA. In the definition for the 2015 domain the emphasis is therefore on this collaborative aspect. The definition identifies the main elements of the domain and their interrelations.

20. For the purposes of assessment, the PISA 2015 definition of CPS competency is articulated in Box 1.

   **Box 1. Definition of Collaborative Problem Solving for PISA 2015**

   Collaborative problem solving competency is the capacity of an individual to effectively engage in a process whereby two or more agents attempt to solve a problem by sharing the understanding and effort required to come to a solution and pooling their knowledge, skills and efforts to reach that solution.

21. PISA 2015 CPS competency is a conjoint dimension of collaboration skills and the skills needed to solve the problem (i.e., referential problem solving skills), while collaboration serves as a leading strand.

22. The following remarks are offered to clarify the meaning and use of the constituent elements of the definition given above.

   **The capacity of an individual...**

23. Collaboration skills can be assessed at the individual, group, or organisational level (Campbell, 1968; Dillenbourg, 1999; Fiore et al., 2010; Stahl, 2006). An advantage of collaboration is that the output of the group in solving the problem can be greater than the sum of the outputs from individual members (Aronson & Patnoe, 1997; Dillenbourg, 1999; Schwartz, 1995) and the individual
level of participants does not adequately characterise how the group as a whole produces different outcomes than individuals. Yet, for the purpose of the PISA assessment, the focus is on individual capacities within collaborative situations. The effectiveness of collaborative problem solving depends on the ability of group members to collaborate and to prioritise the success of the group over individual successes. At the same time, this ability is a trait in each of the individual members of the group.

...to effectively engage in a process...

24. Collaborative problem solving involves an individual’s cognitive processing that engages both cognitive and social skills. There are individual problem solving processes as well as communication processes that interact with the cognitive systems of the other participants in the collaboration. For example, the group must not only have the correct solution but must also agree that it is the correct solution. As discussed later in this document, the focus of the assessment will be on the cognitive and social skills related to CPS to establish and maintain shared understanding, to take appropriate actions to solve the problems, and to establish and maintain group organisation.

25. The cognitive processes involved in CPS are internal to the individual but they are also manifested in the interactions with the problem and with others in the group. That is, cognitive processes can be inferred from the actions performed by the individual, communications made to others, intermediate and final products of the problem solving tasks, and open-ended reflections on problem solving representations and activities. These measures can be instantiated by examining exploration and solving strategies, the type and quality of communication generated, probes of the knowledge and representation of the problem, and indicators of an individual’s representation of others in the group. In other words, measuring collaborative problem solving skills is not only a challenge comparable to measuring individual skills, but also a great opportunity to make observable the cognitive processes engaged by the team members.

...whereby two or more agents ...

26. Collaboration requires interactions between two or more agents. The word ‘agent’ refers to either a human or a computer-simulated participant. In both cases, an agent has the capability of generating goals, performing actions, communicating messages, reacting to messages from other participants, sensing its environment, adapting to changing environments, and learning (Franklin & Graesser, 1996). The success of CPS skills can be observed at either an individual level or a group level. Even when observations are directed at an individual level, they refer to the individual’s actions and interaction enacted in order to share a representation or common goal with at least one other agent for there to be collaboration. The definition therefore sets the requirement of a minimum of two agents.

...attempt to solve a problem...

27. The measurement is focussed primarily on the collaborative actions the students engage in while trying to solve the problem at hand, rather than solely on the correct solution of the problem. The core construct weighs collaboration processes higher than the solutions to problems.

...by sharing the understanding and effort required to come to a solution...

28. Collaboration can only occur if the group members strive for building and maintaining a shared understanding of the task and its solutions. Shared understanding is achieved by constructing a common ground (Clark, 1996; Clark & Brennan, 1991; Fiore & Schooeler, 2004) through communication and interaction, such as building a shared representation of the meaning of the problem, understanding each individual’s role, understanding the abilities and perspectives of group members, mutual tracking of the transfer of information and feedback among group members, and mutual monitoring of progress towards the solution.
...and pooling their knowledge, skills and effort to reach that solution.

29. Collaboration further requires that each individual establish how their own knowledge and skills can contribute to solving the problem as well as identify and appreciate the knowledge and skills that the other participant(s) can contribute. In addition to establishing the state of the pooled knowledge and skills within the group, there are potential differences in points of view, dissension/conflict among group members, errors committed by group members in need of repair, and other challenges in the problem that require cognitive effort to handle. This additional effort of justifying, defending, arguing and reformulating is a factor that may explain why groups sometimes achieve more or are more efficient than individuals: they have to be explicit about their opinion, interpretations and suggestions requiring them to process available information more deeply, to compare more solutions, and to find out the weaknesses of each solution. If there is no effort from an individual, then that individual is not collaborating. The individual is not expending productive effort if the individual does not respond to requests or events and does not take actions that are relevant to any progress toward goals.
ORGANISATION OF THE DOMAIN

Collaborative Problem Solving processes and factors affecting CPS

30. Collaborative problem solving is an inherently complex mechanism that incorporates the components of cognition found in individual problem solving in addition to the components of collaboration. The cognitive components of individual problem solving include understanding and representing the problem content, applying problem solving strategies, and applying self-regulation and metacognitive processes to monitor progress toward the goal (Funke, 2010; Glaser, Linn & Bohrnstedt, 1997; Hacker, Dunlosky, & Graesser, 2009; Mayer, 1998; O’Neil, 1999). However, engaging other group members in a collaborative task requires additional cognitive and social skills to allow shared understanding, knowledge and information flow, to create and understand an appropriate team organisation, and to perform coordinated actions to solve the problem (Dillenbourg, 1999; Fiore et al., 2010).

31. For the purpose of the PISA 2015 CPS assessment, collaborative problem solving competency is defined in Box 1 as the capacity of an individual to effectively engage in a process whereby two or more agents attempt to solve a problem by sharing the understanding and effort required to come to a solution and pooling their knowledge, skills and effort to reach that solution. The definition incorporates three core collaborative problem solving competencies:

1. Establishing and maintaining shared understanding;

2. Taking appropriate action to solve the problem;

3. Establishing and maintaining team organisation.

32. These three competencies arise from a combination of collaboration and individual problem solving processes. The individual problem solving processes are already defined by the PISA 2012 framework: Exploring and understanding, representing and formulating, planning and executing, and monitoring and reflecting. The CPS competencies are further influenced by factors such as the task, the team composition, the medium in which the task is applied, as well as the overall background context of the problem solving task. Below we elaborate on these components.

Problem Solving Skills

33. Much of the basis and terminology of collaborative problem solving for PISA 2015 is consistent with that of the PISA 2012 Problem Solving (PS) framework which addressed problem solving by an individual working alone. It defines a problem as existing when a person has a goal but does not have an immediate solution on how to achieve it. That is, “problem solving is the cognitive processing directed at transforming a given situation into a goal situation when no obvious method of solution is available” (Mayer 1990, p. 284). Problem solving competency is defined as “an individual’s capacity to engage in cognitive processing to understand and resolve problem situations where a method of solution is not immediately obvious. It includes the willingness to engage with such situations in order to achieve one’s potential as a constructive and reflective citizen” (OECD, 2010, p. 12).
34. The 2012 PS framework identifies three conceptual dimensions that provide the basis for the assessment of problem solving and are also relevant to CPS. These are the problem context, the nature of the problem situation, and the problem solving process (OECD, 2010, p. 16).

35. The problem context affects how difficult a problem will be to solve for individuals who have varying familiarity with the context. The 2012 PS framework posits two aspects of the problem solving context, namely the setting (whether or not it is based on technology) and the focus (whether it is personal or social). When the setting is based on technology, individual problem solvers make use of a technological device as a context for their problem solving, such as a computer, cell phone or remote control. The typical problem solving goal in this context is understanding how to control or troubleshoot the device. Other problem solving contexts do not make use of such devices. The non-technology contexts include route planning, task scheduling, and decision making (OECD, 2010, p. 17). The focus of the problem solving is classified as personal when it relates mainly to the individual being assessed, the person’s family, or the person’s peers. A social focus, on the other hand, is broader in the sense that it refers to a context in the wider community or society at large.

36. The nature of the problem situation describes whether the information about the problem situation is complete or not when initially presented to the problem solver. Those problem situations that are complete in their information are referred to as static problem situations. When it is necessary for the problem solver to explore the problem situation in order to obtain additional information that was not provided at the onset, the problem situation is referred to as interactive. Problem situations may also vary with respect to the degree to which the starting state of the problem, the goal state, and the actions that can be performed to achieve the goal state are specified. Problem situations for which there are clearly specified goals, given states, and legal actions can be labelled well-defined problems; in contrast, problems that involve multiple goals in conflict with underspecified given states and actions are called ill-defined problems. PISA 2012 Problem Solving (PS) as well as PIAAC Problem Solving in Technology-rich Environments (PS-TRE) presented both well-defined and ill-defined problems (OECD, 2009, 2010).

37. The PISA 2012 PS framework identified the following four cognitive processes in individual problem solving: Exploring and understanding, representing and formulating, planning and executing, monitoring and reflecting (OECD, 2010, p. 20-21). defined similar set of processes were also identified in the PIAAC PS-TRE framework, with the latter being more focused on processes related to the acquisition, use and production of information in computerised environments (OECD, 2009). The CPS framework builds on the previous assessments of individual problem solving with these cognitive processes.

38. The first process involves understanding the problem situation by interpreting initial information about the problem and any information that is uncovered during exploration and interactions with the problem. In the second process, this information is selected, organised, and integrated with prior knowledge. This is accomplished by representing the information using graphs, tables, symbols, and words, and then formulating hypotheses by identifying the relevant factors of the problem and critically evaluating information. The third process includes planning, which consists of clarifying the goal of the problem, setting any sub-goals, and developing a plan to reach the goal state. Executing the plan that was created is also a part of this process. The final process consists of monitoring steps in the plan to reach the goal state and reflecting on possible solutions and critical assumptions.

39. These four problem solving processes provide a basis for the development of the cognitive strand of the conjoint dimension of the CPS framework. In collaborative problem solving, the group must perform these problem solving processes concurrently with a set of collaborative processes.
Collaborative Problem Solving Skills and Competencies

40. Three major collaborative problem solving competencies are identified and defined for measurement in the assessment. These three major CPS competencies are crossed with the four major individual problem solving processes to form a matrix of specific skills. The specific skills have associated actions, processes, and strategies that define what it means for the student to be competent. Table 1 outlines the skills of collaborative problem solving as a matrix of these collaborative and individual processes. The matrix incorporates the individual problem solving processes from the PISA 2012 Problem Solving framework and illustrates how each interacts with the three collaboration processes.

41. The CPS skills identified in this framework are based on a review of other CPS frameworks, such as the CRESST teamwork processing model (O’Neil, et al., 2003, 2010), the teamwork model of Salas and colleagues (Fiore et al., 2008, 2010; Salas et al, 1992, 2008) and ATC21s (Griffin et al., 2011). Appendix B provides a review of related frameworks and CPS research.

Table 1 Matrix of Collaborative Problem Solving skills for PISA 2015

<table>
<thead>
<tr>
<th>(1) Establishing and maintaining shared understanding</th>
<th>(2) Taking appropriate action to solve the problem</th>
<th>(3) Establishing and maintaining team organisation</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A) Exploring and Understanding</td>
<td>(A1) Discovering perspectives and abilities of team members</td>
<td>(A2) Discovering the type of collaborative interaction to solve the problem, along with goals</td>
</tr>
<tr>
<td>(B) Representing and Formulating</td>
<td>(B1) Building a shared representation and negotiating the meaning of the problem (common ground)</td>
<td>(B2) Identifying and describing tasks to be completed</td>
</tr>
<tr>
<td>(C) Planning and Executing</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>(C2) Enacting plans</td>
</tr>
<tr>
<td>(D) Monitoring and Reflecting</td>
<td>(D1) Monitoring and repairing the shared understanding</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
</tr>
</tbody>
</table>

Note: The 12 skill cells have been labelled with a letter-number combination referring to the rows and columns for ease of cross-referencing later in the document

42. The three major CPS competencies are described below.

1) Establishing and maintaining shared understanding. Students must have an ability to identify the mutual knowledge (what each other knows about the problem), to identify the perspectives of other agents in the collaboration, and to establish a shared vision of the problem states and activities (Cannon-Bowers & Salas, 2001; Dillenbourg, 1999; Dillenbourg & Traum, 2006; Fiore & Schooler, 2004). This includes the student’s ability to monitor how their abilities, knowledge, and perspectives interact with those of the other agents and in relation to the task. Theories of discourse processing have emphasised the importance of establishing a common ground in order for communication to be successfully achieved (Clark, 1996; Clark & Brennan,
so this is also a skill that is essential to CPS. Students must also be able to establish, monitor, and maintain the shared understanding throughout the problem solving task by responding to requests for information, sending important information about tasks completed, establishing or negotiating shared meanings, verifying what each other knows, and taking actions to repair deficits in shared knowledge. These skills involve the student’s own self-awareness of proficiencies in performing the task, recognising their own strengths and weaknesses in relationship to the task (metamemory), and recognising the other agents’ strengths and weaknesses (transactive memory).

2) **Taking appropriate action to solve the problem**: Students must be able to identify the type of CPS activities that are needed to solve the problem and to follow the appropriate steps to achieve a solution. This includes efforts to understand the problem constraints, create team goals for the solution, take action on the tasks, and monitor the results in relation to the group and problem goals. These actions may include communication acts, such as explaining, justifying, negotiating, debating, and arguing in order for complex information and perspectives to be transferred and for more creative or optimal solutions to be achieved. The constraints and rules of engagement differ for the different types of CPS activities, such as jigsaw problems (where individuals have different knowledge that needs to be pooled; Aronson & Patnoe, 1997), collaborative work (Rosen & Rimor, 2009), and argumentative debates in decision making (Stewart, Setlock, & Fussell, 2007). A proficient collaborative problem solver is able to recognise these constraints, follow the relevant rules of engagement, troubleshoot problems, and evaluate the success of the problem solving plan.

3) **Establishing and maintaining group organisation**: A team cannot function effectively without organising the group and adapting the structure to the problem solving task. Students must be able to understand their own role and the roles of the other agents, based on their knowledge of who is skilled at what in the team (transactive memory), follow the rules of engagement for their role, monitor the group organisation, and facilitate changes needed to handle communication breakdowns, obstacles to the problem, and performance optimisation. Some problem situations need a strong leader in the group whereas other problems require a more democratic organisation. A competent student can take steps to ensure that agents are completing tasks and communicating important information. This includes providing feedback and reflecting on the success of the group organisation in solving the problem.

Underlying these three competencies are specific skills that can be individually assessed within collaborative tasks. The assessment will be developed ensuring that the skills shown in the 12 cells of the CPS matrix (Table 1) are all measured across different tasks. Together these will comprise an assessment that covers the three major competencies and the component processes.

**Overview of the domain**

Figure 1 provides a high-level overview of the salient factors that influence collaborative problem solving competency, as well as the cognitive and social processes that comprise the skills within collaborative problem solving contexts, as defined for PISA 2015. The core skills have been described above, additional details on the role of the student background and task context factors are provided below.
Figure 1 Overview of factors and processes for Collaborative Problem Solving in PISA 2015

Collaborative Problem Solving Competencies

- Establishing and maintaining shared understanding
- Taking appropriate action to solve the problem
- Establishing and maintaining team organisation

Context

Student Background

Prior Knowledge
- Math
- Reading and writing
- Science and environment
- Everyday learning

Characteristics
- Dispositions and attitudes
- Experience and knowledge
- Motivation
- Cognitive ability

Core Skills

Collaborative Skills
- Grounding
- Explanation
- Coordination
- Filling roles

Problem Solving Skills
- Explore and understand
- Represent and formulate
- Plan and execute
- Monitor and reflect

Core Skills

Problem Scenario
- Task Type
- Settings
- Domain content

Team Composition
- Symmetry of roles
- Symmetry of status
- Size of group

Medium
- Semantic richness
- Referentiality
- Problem space

Task Characteristics
- Openness
- Information availability
- Interdependancy
- Symmetry of goals

Prior Knowledge

Collaborative Skills
Student Background

45. A student’s prior knowledge and experiences are factors that influence collaboration and problem solving processes. A student’s domain knowledge, for example in mathematics, sciences, reading, writing, and ICT skills, as well as everyday knowledge, influences the student’s capacity to perform collaborative problem solving. Available research indicates that problem solving strategies rely on domain knowledge to some extent (Funke & Frensch, 2007; Healy et al., 2002; Lee & Pennington, 1993; Mayer, 1992; Mayer & Wittrock, 1996). The assessment will use problem situations and contexts relevant to 15-year-old students that tap generalised problem solving skills, but do not rely on specialised knowledge. The assessment will assume basic rather than advanced abilities in reading and use of computer interfaces as well as a basic knowledge of science, mathematics, and the world. This is similar to the approach adopted in PISA 2012 Problem Solving in the selection of problem contexts.

46. Student characteristics such as interpersonal skills (IPS), attitudes, emotions, personality factors (e.g., “Big Five” factors – Openness, Conscientiousness, Extraversion, Agreeableness, Neuroticism) and motivation can all affect individual and collaborative problem solving success (e.g. Avery Gomez et al., 2010; Jarvenoja & Jarvela, 2010; Morgeson et al., 2005, O’Neill et al., 2012). Cognitive abilities such as working memory capacity, logical reasoning, and spatial ability similarly all contribute to CPS. While these core characteristics may influence CPS competence, the PISA 2015 CPS cognitive assessment will not specifically measure factors such as attitude, emotions, motivation, or specific domain knowledge. It is, however, intended that the most critical factors will be measured as part of PISA 2015 background questionnaire (see later section Considerations for the contextual questionnaire).

47. The framework assumes that most 15-year old students have sufficient cognitive and social abilities to complete the CPS tasks. This is a safe assumption from the perspective of research in psychological development. From the standpoint of cognitive and brain development, they are at an age where most students are capable of hypothetical reasoning and abstract thought (Bjorklund, in press; Fischer, 1980; Piaget, 1983); from the perspective of social development, they are at an age where most students can take the perspective of other people and have acquired a large range of socialisation skills (Bjorklund, 1997; Flavell et al., 1968). These capabilities are necessary for being able to establish and maintain a shared understanding in the group, taking actions toward a joint goal and monitoring results of collaborative actions.

48. There is some question of whether different cultures uniformly value students to initiate actions and communications, as opposed to responding to requests and questions. However, taking initiative in appropriate contexts is an important skill at the higher level of CPS competency and therefore relevant to PISA 2015. In the assessment, team members can vary in taking on different task roles, but will not be assigned a social status. The assumption is that adopting different roles in collaborative work and problem solving is acceptable in different cultures; in contrast, social status differences may limit taking initiative in some but not all cultures and thereby impose a cultural bias. For example, in some cultures there are social customs where it is awkward for an employee to communicate with a boss by asking a question, making a request, or evaluating what the boss does. These differences will be avoided in the contexts of our assessments. In contrast, the team members in the problem scenarios will have equal status but take on different roles, which is presumed to be acceptable in all cultures and essential to CPS.

Context: Problem scenarios, Team Composition, Task Characteristics and Medium

49. The problem scenarios and context in which the problem is solved have a number of psychological dimensions that can affect the type of collaboration and the processes in CPS. These
dimensions specify the context of the problem to be solved, the information availability, the relationships among the group members, and the types of problems.

50. A meaningful collaborative interaction and motivating experience in assessment does not emerge spontaneously, but requires careful structuring of the collaboration to promote constructive interactions. For example, effective collaboration is characterised by a relatively symmetrical structure with respect to knowledge, status, and goals (Dillenbourg, 1999), but the roles and tasks of the different group members may be very different. Symmetry of knowledge occurs when all participants have roughly the same level of knowledge, although they may have different perspectives. Symmetry of status involves collaboration among peers rather than interactions involving facilitator relationships. Finally, symmetry of goals involves common group goals rather than individual goals that may conflict (Rosen & Rimor, 2009).

51. Assessment items will be designed so that successful performance on the task requires collaboration and interdependency between the participants. For example, in many types of problems (i.e., jigsaw, hidden profile when the information available to the human is not complete at the beginning of the task), each team member has a piece of information and only together can they solve the problem. These problems are dynamic rather than static from the standpoint of the human because important information accrues during the course of interacting with others on the problem. Moreover, problems will be designed to provide graceful degradation of the solution quality, so partial or suboptimal solutions will receive partial credit. Another example consists of consensus building tasks, where there are limited resources but a group must bargain and converge on a solution that satisfies needs of different stakeholders. Information among participants may also be conflicting, requiring sharing of the information and then resolution in order to determine what information best solves the problem (debate).

52. The assessment items will also consider the types of problems that groups of young people must solve both within a formal school setting and work contexts in order to be productive in society. A problem scenario provides the situational context in which a problem is applied. For example, within a consensus-building task, a classroom scenario may involve reaching a solution on how to prepare a PowerPoint presentation in a class when students bring different information to the group. Another scenario may be a negotiation task that involves global policies of citizens in a culture, such as a debate on where to build a new school.

53. The medium of a CPS item defines aspects such as its richness, referentiality, and cost of grounding. For example, an item can be graphically rich, providing an immersive environment that simulates a classroom or workplace, or it could be a simple interface providing only a text description of a problem and means to communicate with the group. An item’s context may have high referentiality to the outside world and real-world contexts, versus being more abstract, with little reference to external knowledge. An item can have greater or lesser degree of cost of grounding depending on how easy it is for members of the group to communicate with each other and find common ground. Finally, an item can have a shared problem space where the actions of each team member are explicitly visible, for example when working on a shared document, or in other scenarios information about team members’ actions might be implicit, for example when working on separate tasks and reporting back to the group via the communication channel.

54. The 2012 Problem Solving framework provides a structure for considerations of aspects of task characteristics such as ill-defined vs. well-defined, and static vs. dynamic problems. Collaborative problem solving tends to be inherently interactive, interdependent, and dynamic (Bleich & Funke, 2005, 2010; Klieme, 2004; Wirth & Klieme 2004). This provides greater challenges to assessment methods when there is much less control over the progress towards problem solutions, a much wider range of potential solution states, and complexities in tracking problem solving states. To the extent that any individual in a group depends on other individuals, there will be some level of uncertainty in the control over the tasks, making
it difficult for most problem types to be fully well-defined. Thus, a problem may be well-defined from the standpoint of the designer of the problem, but ill-defined at some points in time from the perspective of one or more group participants. Most or all of the problems also have different phases that can reflect variations in these context dimensions.

Table 2 elaborates the schematic representation of Figure 1 by providing an overview of the context dimensions and states which can impact on the difficulty of the CPS task. It is important to acknowledge that in the context of a PISA assessment it is impossible to assess all of the factors shown in Table 2, let alone the large number of combinations of factors; therefore the CPS assessment items constitute a sampling of the total domain by keeping many factors fixed and varying only a few. The framework identifies those factors that are most central to the definition of CPS. More specifically, PISA 2015 CPS concentrates on the collaboration skills to a greater extent than the problem solving skills needed to solve the particular problem. Consequently, problems will vary across low, medium, and high difficulty levels with respect to collaboration skills, while problem solving skills will range from low to medium difficulty.

<table>
<thead>
<tr>
<th>Context</th>
<th>Dimension</th>
<th>States</th>
</tr>
</thead>
<tbody>
<tr>
<td>Problem Scenario</td>
<td>Task type</td>
<td>E.g. Jigsaw, consensus building, negotiation</td>
</tr>
<tr>
<td></td>
<td>Settings</td>
<td>Private vs. public Technology vs. non technology School (formal) vs. non-school (informal)</td>
</tr>
<tr>
<td></td>
<td>Domain content</td>
<td>E.g. Math, science, reading, environment, community, politics</td>
</tr>
<tr>
<td>Team Composition</td>
<td>Size of group</td>
<td>2 or more (including the student)</td>
</tr>
<tr>
<td></td>
<td>Symmetry of status of team members</td>
<td>Symmetrical vs. Asymmetrical</td>
</tr>
<tr>
<td></td>
<td>Symmetry of roles: Range of actions available to each team member</td>
<td>Symmetrical vs. Asymmetrical</td>
</tr>
<tr>
<td>Task characteristics</td>
<td>Openness (c.f. PISA PS 2012)</td>
<td>Well-defined vs. Ill-defined</td>
</tr>
<tr>
<td></td>
<td>Information availability: Does the student receive all necessary information at once? (c.f. PISA PS 2012)</td>
<td>Static vs. Dynamic</td>
</tr>
<tr>
<td></td>
<td>Interdependency: Student A cannot solve problem without student B’s acts)</td>
<td>Low to High</td>
</tr>
<tr>
<td></td>
<td>Symmetry of goals</td>
<td>Group vs. individual</td>
</tr>
<tr>
<td></td>
<td>Distance to solution (From beginning state to goal state)</td>
<td>Small, medium or large</td>
</tr>
<tr>
<td>Medium</td>
<td>Semantic richness</td>
<td>Low to High</td>
</tr>
<tr>
<td></td>
<td>Referentiality to the outside world</td>
<td>Low to High</td>
</tr>
<tr>
<td></td>
<td>Communication medium cost of grounding Interdependency: Student A cannot solve problem without student B’s acts)</td>
<td>Low to High</td>
</tr>
<tr>
<td></td>
<td>Problem space: does the student get information about other team members’ actions?</td>
<td>Explicit vs. implicit</td>
</tr>
</tbody>
</table>
ASSESSING COLLABORATIVE PROBLEM SOLVING COMPETENCY

56. There has been substantial research on the development of assessment methods for individual problem solving (the focus of PISA 2012), but work in assessment and training methods for collaborative problem solving is much less developed. As such, there are no established reliable methods for large-scale assessments of individuals solving problems in a collaborative context and no existing international assessments in wide use. Although ATC21s addresses collaborative problem solving skills, no measurement at the individual level has yet been reported (Griffin et al., 2011).

57. Given the overall matrix sampling design used in PISA, where estimates of country-level competency per domain depend on the covariance structure across the domains to be assessed, observations need to address this ability in individuals. Measuring at the individual level can only be obtained if all variables apart from the individual are controlled. Group-level measurements are highly dependent on group composition and the individual skills of the participants (Kreijns, Kirschner, & Jochems, 2003; Rosen & Rimor, 2009). Fairly assigning a competency level to individuals working in a group where all group members can vary is impossible, because each individual’s display of observable behaviour depends on the behaviour of the other group members.

58. Further, there are few well-elaborated national or international standards for training or assessing collaborative problem solving skills. There are, however, a number of research studies, smaller scale assessments, as well as theoretical work that can inform the development of a reliable large-scale assessment of collaborative problem solving. Appendix B provides a deeper review of existing frameworks and assessment approaches.

59. It has therefore been decided to place each individual student in collaborative problem solving situations, where the team member(s) with whom the student has to collaborate is fully controlled. This is achieved by programming computer agents.

Structure of the Assessment

60. In the main study, each student will be assigned one two-hour test form composed of four 30-minute 'clusters'. Each form will comprise one hour (2 clusters) of Scientific Literacy, the major domain, with the remaining time assigned to either one or two of the additional domains of reading, maths and CPS, according to a rotated test design. For the field test, there will be 120 minutes of CPS material developed, with students receiving two 30 minute CPS clusters. It is expected that three operational cluster will be taken forward to the main study.

61. Units will range from 5 to 20 minute collaborative interactions within a particular problem scenario. Multiple measurements of communications, actions, products and responses to probes can be performed within each unit. These measures can be thought of as corresponding to individual items. For example, an item could be a single communication or action taken by a student at a particular point in the problem, the content of a longer sequence of communications and/or actions made by a student, or the correctness of the solution produced. It is anticipated that 5-30 separate measurements will be derived from each unit. Each of these individual items will provide a score for one or more of the three CPS competency
sub scales. Additional details on scoring and weighting of items is provided below. As the CPS assessment will be computer-based, the timing information automatically captured during the field trial will be used to determine the actual number of items that can be included.

Measurement of Collaboration Skills

62. Collaborative problem solving is inherently an interactive, conjoint, dual strand process that considers how the student reasons about the problem as well as how the student interacts with others to regulate the social processes and exchange information. These complex processes present a challenge for consistent, accurate and reliable measurement across individuals and across user populations. The complexity of the potential collaborative interactions with the environment increases when there is an attempt to create compelling problem solving situations in more realistic environments. Computer-based assessment provides an effective means to control the assessment contexts and collect and analyse the student performance. This level of control reduces the complexity in measurement as well as allows the assessment to be technically implementable. This section describes the focus of what will be measured and how computer-based approaches will be used.

63. PISA 2015 CPS is an assessment of individuals in collaborative problem solving contexts. Because overall analyses for PISA are performed at the student level, the design reflects measuring individual competencies rather than the overall performance of the group process. The PISA 2015 CPS assessment is not designed to measure individuals’ cognitive problem solving skills specifically, but it does do this to the extent that individual problem solving skills are expressed through collaboration. As such, there is an indirect link to the 2012 Problem Solving assessment. The 2015 measurement focuses on assessing the cognitive and social processes underlying collaborative problem solving skills rather than specific domain knowledge.

64. The process of solving a problem in a collaborative situation in computer-based assessment generates a complex data set that contains actions made by the team members, communication acts between the group members, and products generated by the individual and the group. Each can be associated with levels of proficiency for each CPS competency. Because the focus is on the individual, measurement will be on the outputs of the student, whereas output from the rest of the group provides contextual information about the state of the problem solving process.

65. Prior research and assessments in CPS have used a number of different methods to measure the quality of the problem solving products (i.e., outcomes) and processes. These methods use varying approaches to assessing actions, communication and products, including measures of the quality of the solutions and objects generated during the collaboration (Avouris, Dimitracopoulou & Komis, 2003), analyses of log files (file to which a computer writes a record of student activities), quality of intermediate results, paths to the solutions (Adejumo et al., 2008), team processes and structure of interactions (O’Neil, Chung & Brown, 1997), quality and type of collaborative communication (Cooke et al., 2003, Foltz & Martin, 2008; Graesser et al., 2008), and quality of situation judgments (McDaniel et al., 2001). Additional detail regarding research on measurement approaches applied to CPS is provided in Appendix B.

66. Individuals working collaboratively on a problem can change the state of a problem through communicative exchange or performing certain actions. For the purpose of the assessment, actions can be defined as any explicit acts made by the individual that change the state of the collaborative problem. These actions include individual acts such as placing a puzzle piece, clicking on a button to start a jointly designed machine, moving a cursor on a display that the other participants can see, or edits to a joint document. Each action can be mapped to measures of performance as it relates to either success (or failure) of solving the problem or to a skill identified within the framework. For example, placing a puzzle piece incorrectly indicates failure of enacting on a plan (cell C2 of the Skills Matrix). Sequences of actions
provide deeper information about the problem solving process. For example, the sequence of students’ actions in firstly varying one part of the problem, then verifying the solution and then taking the next appropriate action, can show skills of monitoring results and evaluating success (D2).

67. While communication is often classified as an individual collaboration skill, the output of communication provides a window into the cognitive and social processes related to all collaborative skills. Students must communicate to collaborate and the communication stream will be captured and analysed to measure the underlying processes. The analysis of the content and structure of communication streams provides measures of grounding, precision of references among group members, mutual goal establishment, progress toward goals, negotiation, and consensus, sharing perspectives, social states, and judging the quality of solutions generated. For example, communication sent by the student indicating what the student sees on a screen provides an indication of building a shared representation (B1). Taking the initiative to ask other agents to manipulate parts of the problem corresponds to following rules of engagement (C3) and enacting plans (C2). Communication acts and sequences of communication acts can be classified to measure the type and quality of skill that is being enacted by the student.

68. The output or products of the team’s problem solving process provides a third measure of student performance. A product can be based on intermediate and final solutions to the problem solving process or the output of a ‘probe item’ which checks a student’s understanding of a situation in a particular state. These provide a measure of the success that the actions of collaborative problem solving are being enacted properly and that the group is moving the problem state forward appropriately. The products can also be based on ‘probes’ that are placed within the unit to assess a student’s cognitive state relative to the skills in the framework. These probes would stop the simulation and ask the student either a constructed response or multiple choice question in order to assess knowledge states, shared understanding and the student’s understanding of the other group members’ skills, abilities and perspectives. The questions will range from small tests of the student’s state of understanding to situation judgment tasks (SJTs) that require the students to put themselves in the context and communicate the state of problem externally, such as writing an email to a supervisor. Example probes are shown below.

<table>
<thead>
<tr>
<th>Probe</th>
<th>Skill assessed</th>
</tr>
</thead>
<tbody>
<tr>
<td>What does A know about what is on your screen?</td>
<td>(A1) Discovering perspectives/abilities of team members</td>
</tr>
<tr>
<td>What information do you need from B?</td>
<td>(C1) Communicating with team members about the actions being performed</td>
</tr>
<tr>
<td>Why is A not providing information to B?</td>
<td>(D1) Monitoring and repairing the shared understanding</td>
</tr>
<tr>
<td>What task will B do next?</td>
<td>(B2) Identifying and describing tasks to be completed.</td>
</tr>
<tr>
<td>Who controls the factory inputs?</td>
<td>(B3) Describe roles and team organisation</td>
</tr>
<tr>
<td>Write an email to your supervisor explaining whether there is consensus of your group on what to do next.</td>
<td>(B1) Building a shared representation and negotiating the meaning of the problem.</td>
</tr>
<tr>
<td></td>
<td>(B2) Describing tasks to be completed</td>
</tr>
<tr>
<td>Write an email to your group explaining what actions the group will need to do to solve the problem.</td>
<td>(B2) Identifying and describing tasks to be completed</td>
</tr>
<tr>
<td></td>
<td>(C2) Enacting plans</td>
</tr>
</tbody>
</table>

69. These explicit probes are one way of assessing students’ proficiencies, but much can be inferred from the particular actions and speech acts that do not explicitly probe these knowledge states. For example, if the student does not know whether another group member is aware of what the student has on their screen, the student can ask the member a question that targets the uncertainty. Alternatively, another member can perform an action on the screen and observe whether the student comments on an aberration. Physical acts in a shared physical space are acts of communication, just as words and sentences are. Probes
can be administered as multiple choice (selected response) or open ended (constructed response). However there is no requirement that constructed response be used for such assessments if the skills can be adequately assessed through the actions, communications, and products during collaboration process.

70. To measure performance, all actions, communications, products and response times will be logged throughout the problem solving process. Any action or communication can be thought of as a representation of a particular state of the problem solving process. Each state of the problem solving process can also be linked to the specific collaborative skills that need to be assessed, as defined in the framework’s CPS skills matrix (Table 1). Therefore, items within a unit represent changes in the state performed by the student either through actions, communications or the products resulting from actions or communications.

71. For example, to assess establishing and maintaining shared understanding during the process of representing and formulating a problem, the state of the problem has predetermined communication acts related to establishing common ground on tasks (B1). A student initiating a communication act to establish common ground would show that the student is performing at the highest level in that aspect of collaboration, which would be reflected in the scoring. A student who establishes common ground only after being prompted by the agent would show that the student is at the proficient level of the skill. Students who send contextually inappropriate communication or do not communicate any shared understanding would be scored as being below the proficient level.

72. Pattern-matching technology will be used to process the log files and identify the key aspects of a performance corresponding to the competencies. This approach permits fully-automated partial credit scoring against each of the skills from the framework. Although there will be measures for skills in each cell of the framework, the scores from these skills will be combined to return subscale scores for the three core competencies (i.e., columns) in the framework matrix (see Table 1).

73. The student’s physical actions, answers to question probes, and acts of communication selected from a menu can be automatically scored. Probes requiring constructed responses, such as short email communications would require expert-coding. However, because expert-coded responses are assessed off-line, the scoring rubric would need to identify the specific skills from the framework to be assessed and the context, as well as a measure of the quality of the communication and actions.

Conversational Agents

74. The essence of collaborative problem solving is that team members depend on each other. Success in reaching the solution depends on what each of the team members brings to the collaborative effort. If one of the members in a team has nothing to offer towards solving a problem that requires contributions from all members, the problem will not be solved. Randomly pairing students with other students would therefore lead to an underestimate of the population’s problem solving skills as the weakest member in each pair will determine the probability of success, the quality of the solution, and the efficacy in dealing with the problem.

75. Research has shown that group composition has a significant effect on performance, in particular the balance of gender (e.g., Bear and Wooley, 2011), ability (Wildman et al., 2012), personality (e.g., McGivney et al., 2008) and what Webb (1995) terms ‘status characteristics’, e.g. race, popularity, attractiveness, and perceived intelligence. In real-life, students must be prepared to work effectively within various types of homogenous and heterogeneous groups and with a range of familiar and unfamiliar group members. However, in an assessment situation, if a student is matched with a problematic group, it can have a detrimental effect on the student’s performance and the validity of the assessment is compromised.
76. Also some students may be highly stimulated when collaborating with one particular student but demotivated when paired with another student. The only way to obtain a full and valid estimate of an individual’s collaborative problem solving skills would therefore be to pair this individual with a number of different team members, each with a different set of characteristics relevant to collaborative problem solving. To ensure fair measurement, each individual student would need to be paired with the same number of other students displaying the same range of characteristics. As PISA is an international study, caution would need to be taken to ensure that in each participating country the same variability in student characteristics relevant to collaborative problem solving were available.

77. The approach suggested in the previous paragraph is impractical in the context of a large-scale international assessment. Measurement will therefore be operationalised using computer-based agents as a means to assess collaborative skills. Students will collaborate with computer-based conversational agents representing team members with a range of skills and abilities. This approach will allow the high degree of control and standardisation required for measurement. It further permits placing students in a number of collaborative situations and allows measurement within the time constraints of the test administration.

78. Students will be presented with problem scenarios in designated clusters. Each scenario corresponds to an individual assessment unit. The student will be asked to respond to the scenario by playing the role of problem-solver alongside agents in the given context. CPS skills will be measured through a number of items, where each item represents a phase in the problem solving process and can contain several steps.

79. In each CPS unit, the student will work with one or two group members to solve a problem, with the group members implemented as computer agents providing input in much the same manner as fellow students would do. Across different assessment units, agents will be programmed to emulate different roles, attitudes and levels of competence in order to vary the CPS situation the student is confronted with. The conversational agents will be implemented to interact with the student’s communications and actions as the student moves through different states of the problem. Each state defines particular communication acts that can be performed by the agent or that would be expected as input from the student.

80. As the student progresses through the problem solving task, the computer monitors the current states of the problem. With each state, the computer provides a changing set of choices of communication acts that a student can use to create a conversation with the agent group member(s). Differing student responses can cause different actions from the agent, both in terms of changes of the state of the simulation (e.g., an agent adding a piece to a puzzle) or conversation (e.g., an agent responding to a request from the student for a piece of information). Similarly, actions performed by the student during the problem solving, such as placing puzzle pieces or moving an object, are also monitored by the computer in order to track progress on the problem solving process and record the type of student actions relative to the current context of the problem state.

81. Conversational agents can be manifested in different ways within a computer environment, ranging from simple chat interfaces to full virtual talking heads with full expressiveness. For the purposes of PISA 2015, enhanced menu-based chat interfaces, interactive simulations (e.g., moving cursors in a shared space that team members can all see and respond to) and other web-like applications will provide a broad range of conversational contexts and collaborative interaction.

82. An adequate assessment of a student’s CPS skills requires the student to work with multiple types of groups in order to cover the constructs critical for assessment. The computer environment for PISA 2015 will be orchestrated so that students interact with different agents, groups, and problem constraints to cover the range of aspects defined in the construct. For example, one situation may require a student to supervise the work of agents, where there is an asymmetry in roles. Other tasks may have disagreements
between agents and the student, collaboratively-orientated agent team members (e.g. initiates ideas, supports and praises other team members), as well as agent team members with low collaborative orientation (e.g. interrupts, comments negatively about work of others).

83. When humans collaborate together, it often takes considerable time for making introductions, discussing task properties, and assigning roles during the initial phases of CPS activities (e.g., exploring and understanding, and representing and formulating) and also for monitoring and checking up on team members during action phases (Marks, Mathieu, & Zaccaro, 2001; Wildman et al., 2012; Zaccaro et al., 2011). There is also a danger of a group of humans consuming a lengthy amount of time pursuing an unproductive path to a solution during the action phase. Within the assessment situation, computer agents allow rigorous control over the collaborative interaction to obtain a sufficient number of assessment events within the test time constraints using strategic dialogue management and rapid immersion in the collaborative context. For example, a “rescue” agent can redirect the group’s course of action when too much time has been expended on a poor solution path.

84. The control of the progression permits the creation of a sufficient number of observations to assess the student’s proficiency in each skill specified in the cells of the framework’s CPS skills matrix (Table 1), particularly within the exacting time constraints of the test administration.

85. While it is acknowledged that the PISA 2015 assessment does not directly test students working with other students, the agent-based approach permits controlled testing of the skills that are required for collaboration. By targeting these skills under controlled situations, the use of agents provides a sufficiently valid approach to measurement to allow generalisations about the critical collaboration skills. Appendix A provides a review of examples of how agent-based environments have been used to assess collaboration, problem solving, tutoring, and group learning.

Collaborative Problem Solving Tasks Types

86. The assessment will include different types of collaborative problem solving tasks that elicit different types of interactions and problem solving behaviours. A typology of the different tasks might segregate (a) group decision making tasks (requiring argumentation, debate, negotiation, or consensus to arrive at a decision), (b) group coordination tasks (including collaborative work or jigsaw hidden profile paradigms where unique information must be shared), and (c) group production tasks (where a product must be created by a team, including designs for new products or written reports). It is possible to align these categories to either units or items within a unit at different phases, depending on the constraints of item development. For example, consider the following CPS activities:

Consensus building — the group needs to make a decision after considering the views, opinions, and arguments of different members. A dominating leader may prevent a sufficient number of perspectives to be shared with the group so the decision may be non-optimal. The quality of the decision may also be threatened by ‘group think’, swift agreement among members without considering the complexities of the problem.

Jigsaw problems — this is a method to insure interdependence among problem-solvers, which is a condition to measure collaboration. Each group member has different information or skills. The group needs to pool the information and recruit each other’s skills in order to achieve the group goal. The group goal cannot be achieved by any one member alone. One social loafer who does nothing can jeopardise the achievement of the group goal.
Negotiations — Group members have different amounts of information and different personal goals. Through negotiation, select information can be passed so that there can be mutual win-win optimisation which satisfies overall group goals.

87. Additional types of CPS tasks can be appropriate, provided they provide time-constrained collaborative activities requiring ground rules for taking actions, as well as the establishment and maintenance of both shared understandings and team organisation.

Distribution of Units and Items

88. Units serve as the primary context for collaborative problem solving activities for the assessments. Table 2, showing the CPS context dimensions, illustrates a range of potential contexts, problem situations and different mediums that are part of collaborative problem solving. Manipulating all context dimensions would create a very large design space of potential CPS assessment activities. To reduce the design space, a set of primary context dimensions have been identified, based on a consensus of expert judgment, that allow the development of units that assess the major components of CPS skills. This typology of CPS activities uses four dimensions that occur across units (e.g., a unit has only one value on the dimension) and two dimensions in which the value can change within the unit. The typology is as follows:

Across units

- Private vs. Public. The context for a problem is private if the scenario is concerned only with the immediate existing problem situation and the group currently solving it. For example, a problem which involves planning a time for a party under the constraints of the participating group members. A public context involves solving a problem in which there is a larger shared context that relates to the external world. For example, a problem which involves the group deciding on the best location to build a school in an under-resourced area.

- Technological vs. Non-technological. A technological problem context involves collaboratively working on solving a problem which uses machinery or computer equipment. For example a problem may involve discovering how something works (e.g., programming an alarm) or using the technology to complete a task (e.g., operating a machine to manufacture the optimal number of shoes). A non-technological context would have a referent in the problem that is not technology-related, (e.g., planning a party).

- School vs. Non-school. A school context involves problems that are typically encountered in a school, while non-school encompasses potential problems that are encountered outside of the school context, e.g., home, work, etc.

- Symmetrical vs. Asymmetrical roles. In a problem with symmetrical roles, each group member has the same role in the problem solving context and all participate equally. In a problem with asymmetrical roles, different roles are assigned to different people. For example, one group member can be assigned to be a scorekeeper while another is assigned the role of controlling a machine.

Within Units

- Task Type: (for example Jigsaw, Consensus building, Negotiation) As described in the previous section, different task types elicit different types of problem solving behaviour and interactions among the participants. Within a particular unit, a task type can change, for example, starting
with hidden profile (jigsaw) and then once all the information is shared it can become a consensus building task.

- **Dynamic vs. Static.** The 2012 Problem Solving framework distinguishes problems which are static (e.g., information disclosed to the problem solver is complete) compared to those which are dynamic, in which information and states of the problem changes that are beyond the control of the problem solver. In collaborative problem solving, the start of a problem will tend to be dynamic as information about the problem context and other agents is discovered. However, in the middle of a problem, as the student and agents figure out how to execute the actions and understand the roles of the group, the problem may become static. Thus, student performance can be tracked under both static and dynamic problem solving contexts within units.

**Items and Weighting for Scoring**

89. Each problem scenario (unit) contains multiple tasks. A task, e.g., consensus building, is a particular phase within the scenario, with a beginning and an end. A task consists of a number of turns (exchanges, chats, actions, etc.) between the participants in the team. A finite number of options leading onto different paths are available to the participants after each turn, some of which constitute a step towards solving the problem. The end of a task forms an appropriate point to start the next task. Whenever the participants fail to reach this point a ‘rescue’ is programmed to ensure that the next task can be started.

90. From a measurement point of view each task contains one or more scorable items. Each item can be coded in two (dichotomous: 0/1) or more (polytomous: 0, 1, ... m) categories according to the item coding rubrics. The rescue mentioned above ensures that items are independent. The codes reflect the matrix of skills described in Table 1 and the proficiencies described later in Table 6.

91. Each item addresses one of the 12 cells in Table 1, i.e., the cell that represents the skill that the item aims to assess. The assessment will cover all 12 cells, according to weightings discussed below. For example, some items will emphasise exploring common ground (A1 in Table 1), others will clarify roles (B2), others will enact plans (C2), and yet others will reflect on what went wrong in the group (D3). Therefore, each item score contributes to the score for only one cell of the matrix.

92. The proposed allocation of weights for item scoring across the 12 skill cells is shown in Table 4. Greatest weight is placed on column 1 and then column 3 as these competencies focus specifically on collaborative skills, while column 2 is more focused on problem solving behaviour within a collaborative context. The overall weighting of the rows is provided as a general guideline. In the PISA 2012 Problem Solving Assessment, it was found to be difficult to distinguish performance between Exploring and Understanding and Representing and Formulating (Greiff, *et al*., 2012, and expected to be reported in the PISA 2012 Technical Report, in preparation). Therefore, the two rows have been combined to provide a joint total weight. Evidence of performance that would fall within either of the two rows would be allocated towards the weight for those combined skills.
Table 4 Target weights by target skills

<table>
<thead>
<tr>
<th></th>
<th>Establishing and maintaining shared understanding</th>
<th>Taking appropriate action to solve the problem</th>
<th>Establishing and maintaining team organisation</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exploring and Understanding</td>
<td></td>
<td></td>
<td></td>
<td>~40%</td>
</tr>
<tr>
<td>Representing and Formulating</td>
<td></td>
<td></td>
<td></td>
<td>~30%</td>
</tr>
<tr>
<td>Planning and Executing</td>
<td></td>
<td></td>
<td></td>
<td>~30%</td>
</tr>
<tr>
<td>Monitoring and Reflecting</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total</td>
<td>40%-50%</td>
<td>20-30%</td>
<td>30-35%</td>
<td>100%</td>
</tr>
</tbody>
</table>

Evidence Centred Design

93. In order to measure CPS skills a systematic measurement methodology is required that can handle the rich data that are collected in the log files of the computer-based assessment. The Evidence Centered Design (ECD) framework (Mislevy & Haertel, 2006; Mislevy, Steinberg & Almond, 2003) and its computer-based extensions (Clarke-Midura, et al., 2011) provides a foundation for developing computer-based performance assessments to measure CPS skills in PISA 2015. In the ECD framework, assessment is considered a process of reasoning from imperfect evidence using claims and evidence to support the inferences being made about student proficiency. The ECD process includes (a) identifying potential claims about what constitutes student proficiency, (b) identifying evidence (what behaviours/performances elicit skills being assessed, e.g., what students might select, write, do or produce that will constitute evidence for the claims), and (c) identifying the situations (the tasks or items) that give students the optimal opportunity to produce the desired evidence. The purpose is to develop models for schema-based task authoring and for developing protocols for fitting and estimation of psychometric models.

94. Evidence statements could be used to (a) ground measurement of student performance in observable products elicited by tasks or items, (b) define the distinction between partial and full expressions of the collaborative problem-solving skills, and (c) serve as a basis to develop a wide variety of useful reporting aspects for PISA National Project Managers, educators, curriculum developers, and other interested stakeholders. For example, Table 5 lists some design patterns that can guide the development of task model templates for collaborative problem-solving, based on an ECD framework.
Table 5 Design patterns based on an ECD Framework

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rationale</td>
<td>How/why this design pattern provides evidence about focal skill/competency</td>
</tr>
<tr>
<td>Focal CPS skill</td>
<td>The primary CPS skill targeted by this design pattern (e.g. establish and</td>
</tr>
<tr>
<td></td>
<td>maintain shared understanding)</td>
</tr>
<tr>
<td>Additional skills</td>
<td>Other skills that may be required by tasks under this design pattern (e.g.</td>
</tr>
<tr>
<td></td>
<td>explore and understand)</td>
</tr>
<tr>
<td>Potential observations</td>
<td>What students actually do, or make, in which they might produce evidence</td>
</tr>
<tr>
<td></td>
<td>about skills (e.g. students' argumentation in support to agent's claim)</td>
</tr>
<tr>
<td>Potential work products</td>
<td>Products a student might produce to demonstrate CPS skills (e.g. correct</td>
</tr>
<tr>
<td></td>
<td>selection of a hot spot, multiple choice, constructed response)</td>
</tr>
<tr>
<td>Characteristic features of tasks</td>
<td>Aspects of assessment situations that are needed to evoke the desired</td>
</tr>
<tr>
<td></td>
<td>evidence (e.g. student provided with interesting and engaging context or</td>
</tr>
<tr>
<td></td>
<td>scenario, visible alignment with a specific CPS skill taken from 2015 CPS</td>
</tr>
<tr>
<td></td>
<td>assessment framework)</td>
</tr>
<tr>
<td>Variable features of tasks</td>
<td>Aspects of assessment situations that can be varied in order to shift</td>
</tr>
<tr>
<td></td>
<td>difficulty or focus (e.g. difficulty of content, scaffolding)</td>
</tr>
</tbody>
</table>

Considerations for Computer Delivery

95. The proposed CPS framework with computer agents is compatible with the current capabilities of the PISA 2015 computer platform. The presentation of materials on the computer displays are all conventional media, such as diagrams, figures, tables, simulations (e.g. a shared space that team members can all see and respond to), windows, canned email messages, icons, multiple choice items, and so on. The student will interact with the agent(s) via a chat window allowing the student to respond through communication menus. With respect to the student inputs, once again, there will be conventional interface components, such as mouse clicks, sliders for manipulating quantitative scales, drag & drop, cut & paste, and typed text input.

96. All of these standard interactions are supported by the QTI (Question and Test Interoperability) authoring tool within TAO and, with the exception of extended text entries, can be automatically scored. These provide a simple means for students to interact with the assessments without requiring specialised knowledge beyond core ICT skills. Text input will be allowed for words, sentences, and lengthier discourse segments, but these verbal contributions will be analysed by human markers off-line, will not undergo on-line automated text analyses, and will not afford conditional branching.

97. One of the salient features of the CPS interface may be an interface for communication between the student and agents. The platform can support communication modes such as simulated email, web and chat. For example, the interface for a chat communication will contain a communication window with lists of alternative messages that can be sent to agents. There can be two to seven pre-defined alternative speech acts in a communication window that are available for the human to select (via a click) and thereby register an act of communication. These speech acts may be defined according to the described proficiency levels for each cell of the CPS framework matrix (see Table 6 below). For instance, one act might ask the agent for clarification because the message was ambiguous (failing to detect ambiguities) or another act might ask the agent if (s)he performed what (s)he was supposed to perform. The fact that there are a limited number of pre-defined message options makes such a communication facility analogous to conventional multiple-choice items in assessments.
Aside from communicating messages, the human can also perform actions on other interface components. These actions will also be defined by the proficiency levels in Table 6. For instance, one action could be to verify in the environment if an action has been performed by the agent or to perform an action that the agent failed to perform. Consequently, there will be a sequence of possible message communications, actions, and verbal reflections that are collected throughout the process of collaborative problem solving, including a simulated email and web environments. These will be stored in the computer log file. The messages sent and actions performed can be automatically scored, following (a) the proficiencies defined in Table 6 and (b) the response options that are specified, categorised, and scaled in the problem space identified for each unit.

**Considerations for Contextual Questionnaire**

Students’ characteristics, their prior experiences of CPS and their attitude towards CPS are considered as affective factors towards their performance in CPS competency (see Figure 1). However, general attitudes towards collaborative problem solving will not be assessed directly within the cognitive component of the CPS assessment, but in the background questionnaire. In PISA 2012, some student dispositions related to individual problem solving were measured: openness for learning, perseverance, and problem solving strategies. For 2015, an updated set of constructs has been developed to incorporate students’ experiences and dispositions towards collaboration.

For the 2015 contextual questionnaire, three general constructs have been defined as being essential for psychometric and educational purposes:

**Student characteristics:** The composition of personality types in collaborative groups has been shown to be an important predictor of performance, particularly extraversion (McGivney, 2008). Knowing the personality traits of the students and controlling the traits of the agent-partners means that further research can be done to see what effect the ‘Big Five’ personality types (Openness, Conscientiousness, Extraversion, Agreeableness and Neuroticism) have on performance.

**Experiences and practices:** Collaborative problem solving is not a traditional domain, in that it is not explicitly taught as a school subject, rather embedded as a practice in the classroom. The extent to which students in different PISA participating countries may be familiar with collaboration may differ, therefore it is important to have supporting data on their CPS familiarity within the following contexts:

- Educational: *e.g.* classroom and assessment experiences
- Out-of-school: *e.g.* home life and hobbies
- Technology-specific: *e.g.* gaming.

**Disposition to CPS:** The way in which students’ perceive CPS and in particular, their self-efficacy can also affect their performance. Therefore, the following areas are of interest:

- Interest in and enjoyment of collaboration
- Value of collaboration skills
- Self-perception of CPS ability
104. Due to logistical and space constraints in the background questionnaire, only some of these constructs will be measured. In addition, some information can be gathered through the optional questionnaires such as the IT, teacher and parent questionnaires.

Levels of Proficiency in CPS

105. The skills described in the 12 cells of the CPS framework matrix are based on crossing the three major collaboration competencies with the four individual problem solving processes (See Table 1). It is expected that at least three levels of proficiency can be identified and described as an overall reporting scale for CPS to enable comparisons of student performance between and within participating countries and economies. Moreover, measures for the three major collaboration competencies (Establishing and maintaining shared understanding, Taking appropriate action to solve the problem, Establishing and maintaining group organisation) will be derived from performance measures of the relevant cells that are aligned with each column, thus it is anticipated that the competencies can be reported as subscales.

106. Proficiency descriptions characterising typical student performance at each level will be developed by analysing the knowledge and skills required to answer the items at that level. It is expected that the following behaviours characterise high, medium and low performing students at an overall level:

**Low** — the student responds to or generates information that has little relevance to the task. The student contributes when explicitly or repeatedly prompted, yet the student’s actions contribute minimally to achieving group goals (e.g. they may pursue random or irrelevant actions). The student operates individually, often not in concert with the appropriate role for the task. The student’s actions or communications seldom help the team to resolve potential obstacles.

**Medium** — the student responds to most requests for information and prompts for action, and generally selects actions that contribute to achieving group goals. The student participates in assigned roles and contributes to the overall strategies for solving the problem, and on occasion initiates actions. In summary, the student is a good team member, but does not always proactively take the initiative to overcome difficult barriers in collaboration.

**High** — the student responds to requests for information and prompts for action, and selects actions that contribute to achieving group goals. The student also proactively takes the initiative in requesting information from others, initiates unprompted actions, and effectively responds to conflicts, changes in the problem situation, and new obstacles to goals. The student acts as a responsible team member when the situation requires and proactively takes the initiative to solve difficult barriers in collaboration.

107. These three levels of proficiency can be applied to each of the 12 cells in the CPS framework matrix to identify behaviours that are exhibited by the skills for each of the proficiency levels. These are shown in Table 6.
Table 6 Draft proficiency descriptions for CPS competencies scales

<table>
<thead>
<tr>
<th>Low</th>
<th>Medium</th>
<th>High</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>(1) Establishing and maintaining shared understanding</strong></td>
<td><strong>(2) Taking appropriate action to solve the problem</strong></td>
<td>In addition to exhibiting medium (proficient) skills:</td>
</tr>
<tr>
<td><strong>Low</strong></td>
<td><strong>Low</strong></td>
<td>• Student actively shares information and perspectives about self and others when it is needed</td>
</tr>
<tr>
<td>• Student generates communications that aren’t always relevant to the task</td>
<td>• Student responds to requests about the actions, tasks, and plans that advance the solution to the problem</td>
<td>• Student initiates inquiries about the abilities and perspectives of other group members</td>
</tr>
<tr>
<td>• Student responses provide little or irrelevant information about student’s perspective</td>
<td>• Student takes actions that comply with the planned distribution of roles and tasks</td>
<td>• Student initiates requests to clarify problem goals, common goals, problem constraints and task requirements when contextually appropriate</td>
</tr>
<tr>
<td>• Student takes actions that create additional misunderstandings of shared knowledge</td>
<td>• Student’s actions or communications show forward search through a problem space with an organised sequence of solution attempts</td>
<td>• Student detects, deficits (gaps or errors) in shared understanding when needed and takes the initiative to perform actions and communication to solve the deficits</td>
</tr>
<tr>
<td>• Student provides redundant, repetitive, or incorrect information to other group members</td>
<td>• Student acknowledges/confirms completion of actions when prompted</td>
<td>• Student identifies efficient pathways to goal resolution</td>
</tr>
<tr>
<td>• Student provides information at contextually inappropriate times or situations</td>
<td>• Student participates in modification of plans and tasks without initiating the modifications</td>
<td></td>
</tr>
<tr>
<td><strong>High</strong></td>
<td><strong>High</strong></td>
<td></td>
</tr>
<tr>
<td>• Student generates and responds to inquiries with contextually appropriate information about perspectives about self and others.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>• Student generates and responds to requests to clarify problem goals, problem constraints, task requirements</td>
<td>In addition to exhibiting medium (proficient) skills:</td>
<td></td>
</tr>
<tr>
<td>• Student acknowledges or confirms deficits (gaps or errors) in shared understanding</td>
<td>• Student actively shares information and perspectives about self and others when it is needed</td>
<td></td>
</tr>
<tr>
<td>• Student repairs deficits in shared understanding when prompted</td>
<td>• Student initiates inquiries about the abilities and perspectives of other group members</td>
<td></td>
</tr>
<tr>
<td>• Student provides information at contextually inappropriate times or situations</td>
<td>• Student initiates requests to clarify problem goals, common goals, problem constraints and task requirements when contextually appropriate</td>
<td>• Student detects, deficits (gaps or errors) in shared understanding when needed and takes the initiative to perform actions and communication to solve the deficits</td>
</tr>
</tbody>
</table>

(3) Establishing and maintaining team organisation

• Student’s actions and communications suggest that the student does not understand the roles of the other team members
• Student takes actions that are independent or inappropriate for the assigned role or tasks
• The student tries to perform tasks assigned to a different group member

• Student acknowledges or confirms roles taken by other group members
• Student’s actions and communications reflect awareness that student is part of a group attempting to solve the problem
• Student takes actions that follow the planned tasks for particular roles

• Student’s actions and communications show taking the initiative to understand and plan the different group roles that need to be taken to solve the problem
• Student acknowledges, inquires, assigns, or confirms roles taken by other group members
A student’s overall proficiency in collaborative problem solving can be coded, scored, scaled, and measured after defining the specific behaviours and the conditions under which a student must demonstrate those behaviours. These behaviours and conditions identify factors from Table 2 that drive the difficulty of items for the different collaborative processes. Table 7 shows proficient behaviours and conditions under which the behaviours can be manipulated to create item difficulty.

**Table 7 Relationship between proficient behaviour and item difficulty drivers**

<table>
<thead>
<tr>
<th>Collaboration processes</th>
<th>Proficient behaviour (summary)</th>
<th>Conditions that drive item difficulty</th>
</tr>
</thead>
</table>
| (1) Establishing and maintaining shared understanding | • Discovers others’ abilities - share information about own ability  
• Discusses the problem - asks questions, responds to others’ questions.  
• Communicates during monitoring and resolution of groupwork | • Amount of explicit prior information about others  
• Size of group  
• Openness of problem (well-defined/ill-defined)  
• Having to initiate vs. being prompted to talk |
| (2) Taking appropriate action to solve the problem | • Understands the type of interaction needed, make sure to know who does what  
• Discusses and describes tasks and task assignment  
• Enacts plans together with others and performs the actions of the assigned role  
• Monitors and evaluates others’ work | • Interdependency  
• Intrinsic complexity of problem  
• Clarity of problem goal  
• Openness of problem (well-defined/ill-defined)  
• Distance to solution  
• Problem space: Explicit or implicit information about group members’ actions |
| (3) Establishing and maintaining team organisation | • Acknowledges and inquires about roles  
• Follows rules of engagement - complies with plan, ensures others do  
• Monitors team organisation - notices issues, suggests ways to fix them | • Symmetry of roles  
• Problem space: Explicit or implicit information about group members’ actions  
• Cooperativeness of group members |
SUMMARY

109. Collaborative problem solving is introduced to PISA for the first time in 2015. The 2015 definition described here has built on the 2012 Problem Solving assessment but extended it into the Collaborative domain by incorporating the theoretical bases of individual and group cognition. The four processes of the 2012 PS framework have been retained and added to the three core competencies identified for collaborative problem solving to produce a matrix of CPS skills. Each of these skills is defined with levels of proficiency that can be measured by the assessment instrument.

110. The PISA 2015 definition of CPS competency has its origin in the consideration of the types of problems and collaborative interactions that 15-year-old students face in and out of the classroom, as well as a consideration for their “preparedness for life” in the workplace and in further studies. The ability of each participant in a group to communicate, manage conflict, organise a team, build consensus and manage progress is crucial to its success and the measurement of these skills is at the heart of the three competencies that will form the reporting scales for the assessment.

111. This framework for 2015 has described and illustrated the collaborative competencies and problem solving skills that will be assessed in PISA 2015, the knowledge and student characteristics that factor into the assessment, as well as the contexts, team composition and task types that will form the basis of the computer-based assessment instrument (see Figure 1). The framework has also explained the rationale for the use of computer agents to operationalise the measurement of student collaborative skills. This should enable measurement of the proficiency levels to quantify student performance in the three CPS competencies following the 2015 cycle.
### GLOSSARY OF TERMS

<table>
<thead>
<tr>
<th>Term</th>
<th>Explanation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Actions</td>
<td>Any explicit acts made by the individual that change the state of the collaborative problem</td>
</tr>
<tr>
<td>Agent</td>
<td>Either a human or a computer-simulated participant in a CPS group</td>
</tr>
<tr>
<td>Cluster</td>
<td>Several units grouped into a 30 minute block for testing</td>
</tr>
<tr>
<td>Consensus building</td>
<td>A task type where the group needs to make a decision after considering the views, opinions, and arguments of different members</td>
</tr>
<tr>
<td>Conversational agent</td>
<td>Computer-based agents representing team members with a range of skills and abilities</td>
</tr>
<tr>
<td>Cost of grounding</td>
<td>How easy it is for members of the group to communicate with each other and find common ground</td>
</tr>
<tr>
<td>ECD (Evidence Centred Design)</td>
<td>A framework for developing assessments by reasoning from imperfect evidence using claims and evidence to support the inferences being made about student proficiency (Mislevy &amp; Haertel, 2006; Mislevy, Steinberg &amp; Almond, 2003)</td>
</tr>
<tr>
<td>Hidden profile task</td>
<td>See jigsaw</td>
</tr>
<tr>
<td>Item</td>
<td>Each problem scenario is divided into different tasks termed ‘items’. Items are a unit of measurement.</td>
</tr>
<tr>
<td>Jigsaw</td>
<td>Also known as hidden profile. A task type where each group member has different information or skills. The group needs to pool the information and recruit each other’s skills in order to achieve the group goal. The group goal cannot be achieved by any one member alone</td>
</tr>
<tr>
<td>Log file</td>
<td>File to which the computer writes a record of student activities</td>
</tr>
<tr>
<td>Negotiation</td>
<td>A task where group members have different amounts of information and different personal goals. Through negotiation, select information can be passed so that there can be mutual win-win optimisation which satisfy overall group goals.</td>
</tr>
<tr>
<td>Openness</td>
<td>The degree to which a problem is “well-defined” (e.g. all the information is at hand for the problem solver) vs. “ill-defined” (e.g. the problem solver must discover or generate new information in order for the problem to be solved)</td>
</tr>
<tr>
<td>Probe</td>
<td>A question which stops the problem scenario to assess a student’s cognitive state relative to the skills in the framework. E.g. a multiple-choice question to assess knowledge states, shared understanding</td>
</tr>
<tr>
<td>Problem scenario</td>
<td>The problem that the group must solve. Each scenario involves one or more task types and settings. Each unit contains one scenario.</td>
</tr>
<tr>
<td>Problem space</td>
<td>The space in which the actions are carried out to solve the problem. Can be explicitly or implicitly visible to team members.</td>
</tr>
<tr>
<td>Problem State</td>
<td>Any stage within a problem space. Actions or communication can change the state of a problem to another state that is closer or further from the goal.</td>
</tr>
<tr>
<td>Product</td>
<td>Outcomes which provide a measure of the success that the actions are being enacted properly and that the group is moving the problem state forward appropriately</td>
</tr>
<tr>
<td>Referentiality</td>
<td>An item’s context may have high referentiality to the outside world and real-world contexts or at the other end of the spectrum a low referentiality with little reference to external knowledge</td>
</tr>
<tr>
<td>Rescue agent</td>
<td>If students reach an impasse or run out of time, a rescue agent will intervene to take students to the beginning of the next item</td>
</tr>
<tr>
<td>Semantic richness</td>
<td>The degree to which the problem provides a rich, elaborated problem context that relates to the external world.</td>
</tr>
<tr>
<td>Settings</td>
<td>The context dimensions of the problem scenario, namely:</td>
</tr>
<tr>
<td>Term</td>
<td>Explanation</td>
</tr>
<tr>
<td>---------------------</td>
<td>-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>Symmetry of roles</td>
<td>The degree to which team members are assigned similar or different roles in a problem scenario</td>
</tr>
<tr>
<td>Symmetry of status</td>
<td>The degree to which the status of team members are the same or are of different rank (e.g. peers vs. supervisor and subordinate relationships)</td>
</tr>
<tr>
<td>Task</td>
<td>A task is a particular phase within the problem scenario consisting of a number of turns between the participants in the team. From a measurement point of view a task is a scorable item.</td>
</tr>
<tr>
<td>Task type</td>
<td>The type of collaborative problem solving tasks that elicit different types of interactions and problem solving behaviours. The three types are: Consensus building, jigsaw, negotiations.</td>
</tr>
<tr>
<td>Turns</td>
<td>A set of one or more human actions and/or communications in an item</td>
</tr>
<tr>
<td>Unit</td>
<td>Each unit contains one problem scenario and several items.</td>
</tr>
</tbody>
</table>
APPENDIX A. STUDIES ON CONVERSATIONAL AGENTS

112. There is a broad spectrum of computer-based agents that have been used in tasks that involve tutoring, collaborating learning, co-construction of knowledge, and collaborative problem solving. (See Table 8 below for examples of operationally implement systems). These agents provide a range of techniques that can be potentially incorporated in CPS assessments. At one extreme there are fully embodied conversational agents in a virtual environment, with speech recognition capabilities embedded in a serious game (e.g., the Tactical Language and Culture System, Johnson and Valente, 2008). Although this might be motivating to 15-year old students, this solution would be prohibitively costly and impractical to implement in multiple countries.

113. A less expensive solution is animated conversational agents that express themselves with speech, facial expression, gesture, posture, and/or other embodied actions. Such systems have been developed and tested in dozens of learning environments during the last two decades, such as AutoTutor (Graesser, Jeon, and Dufty, 2008; VanLehn et al., 2007), Betty’s Brain (Biswas, Schwartz, Leelawong & Vye, 2005), Operation ARIES (Millis et al., in press), and iSTART (McNamara et al., 2007). Although these systems have proven successful in facilitating learning in an impressive body of empirical research, there would be major challenges in technology, costs, and cultural variations in language and discourse to implement them in PISA 2015. For example, there are considerable differences among countries in language, speech, communication style, dress, facial demeanour, facial expressions, gesture, and so on.

114. A minimalist approach to assessment using agents provides much of the same control as the more interactive agent approaches, while avoiding some of the above complications. Minimalist agents may consist of printed messages in windows on the computer display, such as email messages, chat facilities, print in bubbles besides icons, and documents in various social communication media (Rouet, 2006). Some of these forms of agent-based social communication media have already been implemented in PIAAC (OECD, 2009). There would be no speech generation because of concern of variations among dialects. There might be static visual depictions of the agents who send the messages, which is helpful to mitigate confusion on “who says what” when there are multiple agents playing multiple roles. However, such an approach can minimise the depiction of gender, ethnicity, and other visual characteristics of agents that present complications of cultural bias and measurement error.

115. An important consideration is that it is important for the human to pay attention to the agent when the agent communicates - in a fashion that is analogous to a human who takes the floor when speaking and gets noticed. This can be accomplished with a minimalist agent by a dynamic highlighting of messages and windows through colour, flash, and coordination of messages with auditory signals (Mayer, 2010).

116. Computer agents can communicate through a variety of channels. The simplest interface would have the student clicking an alternative on a menu of optional speech acts and for there to be a limited number of options (2 to 7). Other possibilities are open-ended responses that range from typing (or speaking) a single word, to articulating sentences, and composing lengthier essays. The simplest, but still effective, click interface supports on-line conditional branching to different system and conversational states, depending on the options the human selects.
Open-ended responses of sentences or essays may be incorporated in the CPS items for later assessment by expert human markers; however, on-line assessment is still impractical because the advances in computational linguistics (Jurafsky & Martin, 2008) and essay grading (Landauer, Laham, & Foltz, 2003; Shermis, Burstein, Higgins, & Zechner, 2010) are limited or nonexistent for some languages. Nevertheless, it would be prudent to collect such open-ended responses for a percentage of assessment items in order to advance research and development of automated language-discourse analyses for future generations. An intermediate solution is semi-structured interfaces, when the system proposes “sentence openers” and then the student completes the sentence (e.g., Soller & Lesgold, 2009). The computer agents can adopt different roles (Baylor & Kim, 2005; Biswas et al., 2005; Millis et al., in press). For example, the student might take the role of midlevel management and communicate with a supervisor agent and a subordinate agent. The computer agent might be a peer, with equal status to the agent, depending on the way the agent is presented to the subject at the beginning of the text.

The number of computer agents can also vary from only one partner in a dyad, to two agents in a triad, to three or more agents in larger group ensembles. The ensembles of agent configurations are essentially unlimited. Triads (a student and two agents) have advantages because the number of agents is small (minimising confusion in agent roles) but affords interesting complexities in social interaction, such as status differences, agents disagreeing with each other, and agents making comments or taking actions that would make sense to a knowledgeable human (Millis et al., in press; Wiley & Jensen, 2007). It can also be used to measure social conformity, e.g. whether the student would follow the two agents when they agree on a solution for which the human subject has evidence that it is wrong.

An agent-based approach provides a means to assess individuals’ competencies. The proposed minimalist approach to the presence of agents is compatible with the tasks developed for PIAAC (2010) in assessments of Problem Solving in Technology-Rich Environments. While PIAAC focuses on interaction with technology rather than collaboration, the user interface approach would not be that different. The human would receive email messages from different individuals in addition to working with spreadsheets and web-like searches. Contemporary social communication media (e.g., email, chat, blogs, discussion portals) frequently have messages sent by individuals who cannot be seen and who might not even be known by the recipient of a message (National Research Council, 2011). Teenagers are extensive users of these 21st century communication media so such interfaces have high ecological validity. Companies also are increasingly adopting mediated natural language communication. Artificial agents are ubiquitous in the modern world and are likely to become even more prevalent in the future.

The following table is a summary of studies with conversational agents in tasks that involve tutoring, collaborating learning, co-construction of knowledge, and collaborative problem solving. Innovative assessment systems with agents are being developed at Pearson, ETS and other assessment organisations (e.g. Forsyth et al, 2012).
**Table 8 Examples of operationally implemented agent-human based training and assessment systems**

<table>
<thead>
<tr>
<th>Tutor Agent and Human Co-Constructing Answer to Difficult Question or Solution to Problem</th>
<th>Tutor Agent and Human Co-Constructing Answer to Difficult Question or Solution to Problem</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>AutoTutor, GuruTutor, Why-Atlas</strong></td>
<td><strong>AutoTutor, GuruTutor, Why-Atlas</strong></td>
</tr>
<tr>
<td>Physics, Biology, Computer Literacy</td>
<td>Agent helps student articulate answers and solutions through natural language interaction with feedback, hints, prompts for information, corrections, and assertions of missing information. Learning gains are the same as human tutors.</td>
</tr>
<tr>
<td></td>
<td>Olney <em>et al.</em> (2012)</td>
</tr>
<tr>
<td></td>
<td>VanLehn <em>et al.</em> (2007)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Two Agents Training Humans in Skills of Reading, Writing, and Speaking</th>
<th>Two Agents Training Humans in Skills of Reading, Writing, and Speaking</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>iSTART</strong></td>
<td><strong>iSTART</strong></td>
</tr>
<tr>
<td>Science texts</td>
<td>Teacher and peer agent train students how to generate self-explanations during reading. Computer interprets natural language and gives feedback. The computer improves comprehension and can accurately identify student paraphrases, relevant elaborations, predictions, and other categories of speech acts.</td>
</tr>
<tr>
<td></td>
<td>McNamara, Boonthum, Levinstein, &amp; Millis (2007)</td>
</tr>
<tr>
<td></td>
<td>McNamara, O'Reilly, Best, &amp; Ozuru (2006)</td>
</tr>
<tr>
<td><strong>Writing-Pal</strong></td>
<td><strong>Writing-Pal</strong></td>
</tr>
<tr>
<td>Argument essays</td>
<td>Teacher and peer agent trains students how to write essays by interactively scaffolding different phases of writing. Computer gives feedback on writing quality and scaffolds student's mastery of particular writing components.</td>
</tr>
<tr>
<td></td>
<td>McNamara <em>et al.</em> (2012)</td>
</tr>
<tr>
<td><strong>Tactical Language and Culture Training System</strong></td>
<td><strong>Tactical Language and Culture Training System</strong></td>
</tr>
<tr>
<td>Language learning</td>
<td>Students learn new languages with multiple agents in socio-cultural contexts. Speech recognition is excellent and students learn. Won the DARPA technological achievement award in 2005 for Tactical Iraqi.</td>
</tr>
<tr>
<td></td>
<td>Johnson &amp; Valente (2008)</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Tutor, Mentor, and Peer Agents Collaboratively Work with the Student on Reasoning and Problem Solving Tasks</th>
<th>Tutor, Mentor, and Peer Agents Collaboratively Work with the Student on Reasoning and Problem Solving Tasks</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Operation ARIES</strong></td>
<td><strong>Operation ARIES</strong></td>
</tr>
<tr>
<td>Scientific methods and Tutor and student peer</td>
<td>Cai <em>et al.</em> (2011)</td>
</tr>
<tr>
<td>System</td>
<td>Course</td>
</tr>
<tr>
<td>---------------</td>
<td>------------------</td>
</tr>
<tr>
<td>Operation ARA</td>
<td>Reasoning</td>
</tr>
<tr>
<td>Betty’s Brain</td>
<td>Biology, Environmental science</td>
</tr>
<tr>
<td>Crystal Island</td>
<td>Biology</td>
</tr>
<tr>
<td>MetaTutor</td>
<td>Biology</td>
</tr>
<tr>
<td>Coach Mike Ada and Grace</td>
<td>Museums of science</td>
</tr>
<tr>
<td>BiLAT</td>
<td>Negotation</td>
</tr>
</tbody>
</table>
APPENDIX B. CPS LITERATURE REVIEW

Collaborative problem solving has been investigated in the social sciences for several decades, which has resulted in a number of theoretical frameworks, models, and paradigms of empirical studies. These contributions span the areas of communication, individual and group problem solving, computer-supported cooperative work, and team assessment. Appendix B reviews and outlines research from a number of areas that have implications for the design decisions of the CPS assessment. Many studies have assessed particular components of collaborative problem solving, but few have been validated across diverse populations. Moreover, most studies have focused on business, military contexts, or college students (Loughry, Ohland, & Moore, 2007; Morgeson, Reider, & Campion, 2005; Zhuang, 2008). Nevertheless, many of the models, studies and frameworks can apply to the 15-year-old PISA population.

Existing Frameworks and Models for Collaborative Skills

A number of existing models and frameworks were reviewed in order to conceptualise the key processes involved in CPS. The conceptualisations of collaborative skills differ in the details across the models, but there are a number of correspondences and some convergence. For example, a number divide out different skills related to collaboration and those related to problem solving. Some of these models formed the basis of the development of definitions of the three core competencies adopted in the PISA 2015 CPS framework, namely:

- Establishing and maintaining shared understanding;
- Taking appropriate action to solve the problem;
- Establishing and maintaining team organisation.

These three core competencies incorporate major processes taken from theoretical frameworks in the literature cited below. Moreover, they correspond to skills that are important for students entering academic and workplace environments and they adhere to the additional constraint that they can be measured in the PISA 2015 assessment.

The ATC21S framework for collaborative problem solving (Griffin et al., 2011) views CPS as a multi-dimensional skill that includes both social or collaborative skills, and cognitive skills. CPS was conceptualised as having five broad skills.

Social skills include:

- **Participation and cooperation** — The ability to participate as a member of a group and contribute knowledge
- **Perspective taking** — the ability to place oneself in another’s position - which can lead to adaptation, and modification of communication to take the other’s perspective into consideration.
- **Social regulation** — such as negotiation and resolution of conflicts or misunderstandings.

Cognitive skills include:

- **Task regulation** — the identification of the problem space - its description, its goals, its needs and its resources; clear understanding of the problem space supports the skills of social regulation
- being aware of the problem space provides a structure within which learners can locate themselves and each other’s needs for knowledge or resources.

**Knowledge building** — where unique contributions of information, skills, or resources are combined to contribute to a problem solution.

127. The PIAAC Problem Solving in Technology-Rich Environments Framework (OECD, 2009), incorporates several skills related to CPS. It defines problem solving in technology-rich environments as “using digital technology communication tools and networks to acquire and evaluate information, communicate with others and perform practical tasks”. It focuses on “ability to solve problems for personal, work and civic purposes by setting up appropriate goals and plans, accessing and making use of information through computers and computer networks” (OECD, 2009). The skills of communicating with others, setting goals and plans while solving problems all are critical in use of digital technologies while also are core components of collaboration skills.

128. The Partnership for 21st century skills’ framework (Fadel & Trilling, 2009) presents definitions of communication, collaboration skills as well as problem solving:

**Communicate Clearly**

Articulate thoughts and ideas effectively using oral, written and nonverbal communication skills in a variety of forms and contexts.

Listen effectively to decipher meaning, including knowledge, values, attitudes and intentions.

Use communication for a range of purposes (*e.g.* to inform, instruct, motivate and persuade).

Utilise multiple media and technologies, and know how to judge their effectiveness a priori as well as assess their impact.

Communicate effectively in diverse environments (including multi-lingual).

**Collaborate with Others**

Demonstrate ability to work effectively and respectfully with diverse teams.

Exercise flexibility and willingness to be helpful in making necessary compromises to accomplish a common goal.

Assume shared responsibility for collaborative work, and value the individual contributions made by each team member.

**Solve Problems**

Solve different kinds of non-familiar problems in both conventional and innovative ways.

Identify and ask significant questions that clarify various points of view and lead to better solutions.

129. Stevens and Campion (1994) provided a five-component model of teamwork that includes the following knowledge, ability, and skills:
**Conflict solving** — the ability to recognise and encourage useful conflicts and to employ appropriate conflict resolution strategies when conflicts are not useful.

**Collaborative problem solving** — the ability to identify situations requiring group problem solving and decision making.

**Communication** — listening skills and a willingness and ability to develop open and supportive communication.

**Goal setting and performance management** — setting acceptable and appropriate goals and providing feedback.

**Planning and task coordination** — the ability to coordinate activities with other team members.

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130. Another framework suggested by the Center for Research on Evaluation, Standards, and Student Testing (CRESST) consists of six measures (O’Neil, Chung, & Brown, 1997; 2003):

**Adaptability** — refers to the group’s ability to monitor the source and nature of problems, and provision of appropriate feedback.

**Coordination** — a group’s process by which group resources, activities, and responses are organised to ensure success.

**Decision making** — ability to integrate information, use judgment, identify possible alternatives, select the optimal solution, and evaluate the consequences.

**Interpersonal** — the ability to improve the quality of team member interactions.

**Leadership** — the ability to direct and coordinate the activities of the team, assess the performance, assign tasks, plan and organise, and establish a positive atmosphere.

**Communication** — efficient information exchange between team members in the agreed manner and by using proper terms, and the ability of clarification and acknowledgement.

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131. Zhuang et al., 2008 developed a framework that incorporates some of the considerations of the other frameworks to create five content areas:

**Task-related process skills** — collaborative problem solving, decision making, planning and task coordination, strategy formulation, coordination, goal setting, performance management.

**Cooperation with other team members** — adaptability, interpersonal skills.

Influencing team members through support and encouragement — confidence building, social support.

Resolution of conflicts or disagreements among team members via negotiation strategies — conflict solving, communication.

Guidance and mentorship of other team members — leadership, helping others.

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132. Collazos et al (2007) suggested five system-based indicators of the success in CPS:
Use of strategies — the ability of the group members to generate, communicate and consistently use a strategy to jointly solve the problem.

Intra-group cooperation — application of collaborative strategies during the process of group work.

Reviewing success criteria — the degree of involvement of the group members in reviewing boundaries, guidelines and roles during the group activity.

Monitoring — the extent to which the group maintains the chosen strategies to solve the problem, keeping focused on the goals and the success criteria.

The performance of the group — how good is the result of collaborative work, total elapsed time while working, and total amount of work done.

“Interpersonal Skills” (IPS) and the Attitudinal, Behavioural and Cognitive Components are also considered critical components of performing effectively in collaborative situations. IPS has been described as a form of social perception and social cognition involving processes such as attention, and decoding in interpersonal situations. IPS can be likened to a form of social intelligence. This involves knowledge of social customs, expectations, and problem solving (McDonald, Flanagan, Rollins and Kinch, 2003). Further, it rests on an “ability to understand” behaviours, cognitions, and attitudes of individuals (including oneself) and to translate understanding into appropriate behaviour in social situations (Marlowe, 1986). In a dynamic context, it involves continuous correction of social performance based on reactions of others during social exchanges (Argyle, 1979). This requires a type of monitoring with feedback loops where one continually adapts behaviours based on verbal and non-verbal cues from others involved in the social exchange. In their review of IPS, Klein, DeRouin, and Salas (2006) synthesised the literature to develop a taxonomy of IPS. They defined IPS as an umbrella term that refers to “goal-directed behaviours, including communication and relationship-building competencies, employed in interpersonal interaction episodes characterised by complex perceptual and cognitive processes, dynamic verbal and nonverbal interaction exchanges, diverse roles, motivations, and expectancies” (p. 81).

Discourse in Collaborative Problem Solving

The theoretical framework for problem solving as a social process was developed by Vygotsky (1978, 1986). According to this theory, personal potential could be realised through a process of interaction with and support from the human environment and from various tools. Interpersonal activity when appropriated implemented could lead to intrapersonal mental development. When trying to solve a problem together through the exchange of ideas, a group of learners constructs shared meanings that the individual would not have attained alone. The shared meaning can only be achieved through communication within the group.

Collaborative problem solving is a coordinated joint dynamic process that requires periodic communication between group members (i.e., human or computer agents). The discourse that is communicated among the agents provides both a means for the collaboration to occur as well as a means for measuring the collaborative processes. Communication is a primary means of constructing a shared understanding, as modelled in Common Ground Theory (Clark, 1996; Clark and Brennan, 1991). Clark’s theory is widely used within CPS literature as a way of addressing the fact that all agents in a problem solving situation must have some sense of shared knowledge in order to solve a task. Some interpretations of this theory have suggested that the original portrayal of grounding must be extended and adapted to group problem solving because of the complex nature of these interactions (Dillenbourg & Traum, 2006; Fiore & Schooler, 2010).
In order to apply grounding to problem solving, one major discrepancy exists. In the original theory, conversational partners need only achieve a high enough level of shared understanding necessary to facilitate resulting actions (Clark & Wilkes-Gibbs, 1986). However, Schwartz (1995) has suggested that effort is required to acquire new knowledge. Dillenbourg, Traum, and Schneider (1996) proposed that “optimal collaborative effort” is required of all of the participants in order to achieve adequate learning and performance in a collaborative environment. Some empirical evidence from human interactions in collaborative learning environments suggests that persistence in communication may be more important than a common external representation that facilitates grounding, thus supporting the hypothesis of optimal collaborative effort (Dillenbourg & Traum, 2006).

Clark (2001) as well as researchers of Transactive Memory Theory (Barnier et al., 2008; Theiner, 2010; Theiner & O’Connor, 2010) propose that discourse can allow for an externalised representation of knowledge, leading to the emergence of new information from a group beyond that of any one individual. Fiore and Schooler (2010) adopted a view of macrocognition from this proposition and blended two ideas in order to accommodate group problem solving, namely macrocognition with an application of group communication theory (Chi, Glaser, & Rees, 1990; Fiore & Schooler, 2004; Hirokawa, 1980; Orlitzky & Hirokawa, 2001). Specifically, the idea of macrocognition in teams focuses on how people of varying backgrounds and expertise are able to interact with other individuals in a fashion that allows for not only a shared representation but also the formation of new knowledge by applying previously acquired information to new situations.

Group communication theory (as functionally applied to decision-making in problem solving) suggests that the degree to which groups contribute time and effort to completing specific sub-goals predicts final performance. The first sub-goal is to analyse the problem (Campbell, 1968). The next goal is to define the seriousness of the problem or the reason for solving it, followed by identifying causes, and finally consequences to solutions of the problem. Specific concentration to the negative consequences resulting from solutions may increase a group’s effectiveness (Orlitzky & Hirokawa, 2001). The need for communication and achievement of sub-goals leads to the conclusion that predicting group performance in problem solving tasks relies heavily on the time spent and quality of the interactions of the group members (Fiore et al., 2010). It is extremely important to place students in an environment that facilitates optimal circumstances for both communicating and reaching a solution.

Considerations for Problem Solving Environments and Tasks

Many collaborative problem solving studies focus on social dilemmas in which group members must resolve a conflict between personal vs. group benefits. For example, the classic Prisoner’s Dilemma consists of a scenario in which multiple people are called in by the police and accused of a crime. By cooperating, an individual may receive the least amount of jail time only if all of the other parties do not cooperate. Rational theory predicts that each person will defect (Hargreaves & Varoufakis, 2004) with deleterious effects. Conversely, real life experiments show that communication leads to higher cooperation in resolving conflicts within groups during this type of problem solving task (Balliet, 2010; Sally, 1995).

In contrast to asymmetries in goals, hidden profile tasks create asymmetries in information among participants (Stasser & Titus, 1985). A hidden profile task or ‘jigsaw’ is one where some information is shared among group members but other important parts of the problem are left unshared. That is, all participants possess some information prior to discussion but other pieces of information are distributed separately to members. To effectively solve the problem, all information must be pooled (Stasser, 1988; Stasser & Titus, 2003).

Technology allows investigators to place humans in orchestrated situations and observe their behaviour and reactions. For example, many technological environments are based on naturalistic decision
making (NDM) (Klein, 2008; Klein et al. 1993; Lipshitz, Klein, Orasanu, & Salas, 2001; Zsambok & Klein, 1997) in which each individual has his/her own goals, identity, and expertise which must be aligned in decisions and action in order to reach the end goal that affects both the individual and the group as a whole. According to Fan, McNeese, and Yen (2010), NDM focuses on decisions that people make in real-life. Ill-structured situations can be created in computer-simulated environments in order to conduct group problem solving research. For example, NDM has been examined in a computer-mediated environment in order to discover the beneficial aspects of including artificial agents as collaborators during complex problem solving (Fan, McNeese, and Yen, 2010).

Problem solving has also been studied with a focus on goal orientation and achievement rather than decision making, an approach derived from operative intelligence theory (Dörner, 1986). This approach concentrates on the cognitive processes of the group members rather than the results of any given task. Researchers analyse behaviour in complex and dynamic situations that are instantiated in computer-simulated environments, as in the case of the microworlds of Tailorshop (Brehmer & Dorner, 1993) and Microdyn (Funke & Frensch, 2007; Greiff, et al., 2012). Tailorshop creates a scenario in which participants must run a business while maintaining multiple and intertwining goals. Microdyn is an artificial environment that can be altered by allowing systematic variation as group members attempt to manage a complex situation with independent sub-goals. Because the goals are independent, multiple scenarios can be presented in succession in order to solve the issue of members achieving only one task (Greiff & Funke, 2009).

Measures of Teamwork, Taskwork and Team Cognition

Effective teams engage in both taskwork - i.e., efforts focused on accomplishing the required tasks - and teamwork - i.e., efforts aimed at operating cohesively as a unit (McIntyre & Salas, 1995). There have been a number of techniques developed for assessment of these skills. The approaches have included peer evaluation, behavioural observation scales for experts/instructors, peer review questionnaires and surveys. While none are practical for individual measurement for PISA, these methods inform the taskwork, teamwork, and interpersonal skills that are critical to measure in collaborative problem solving. Furthermore, many of these same skills being assessed can be measured in a computer-based collection of collaborative problem solving data. The logs of the communication and actions performed by the students can be directly related to particular skills and processes used in the scales.

Observation scales

Behavioural Observation Scales (BOS) are typically assessed through an instructor or rater observing the team interaction or through peer rating. Taggar and Brown (2001) developed Behavioural Observation Scales that focused on interpersonal skills and self-management skills. These were derived from critical incidents to provide context relevant examples. Each member of the team rated each other team member on items related to the following 13 different dimensions:

1. Reaction to conflict
2. Addresses conflict
3. Averts conflict
4. Synthesis of team’s ideas
5. Involving others
6. Effective communication
7. Goal setting/achievement
8. Team citizenship
Commitment to team
Focus on task-at-hand
Preparation for meetings
Providing/reaction to feedback
Performance management

A subset of specific behaviours relevant to PISA may be derived from these constructs and be captured in an automated fashion.

Team Dimensional Training (TDT) was developed in the context of complex decision making tasks for the US Navy. It has been validated in a number of settings with a variety of types of teams (e.g., Smith-Jentsch et al., 1998; 2008). With TDT, behavioural observation is used to rate teamwork process along four dimensions:

“Information Exchange” — addresses “what” is passed “to whom” and is meant to capture those processes foundational to a team’s ability to develop and maintain shared situation awareness.

“Communications” — addresses “how” information is delivered.

“Supporting Behaviour” — captures how teams compensate for one another in service of achieving team objectives.

“Initiative and Leadership” — encompasses guidance and direction provided by team members.

A Likert-type scale is used to make performance ratings for each team member. Ratings are typically provided on a Likert-type scale ranging from 1 to 5 (highly ineffective to highly effective). In Table 9, the specific components of TDT are listed.

Table 9, Components of team dimensional training

<table>
<thead>
<tr>
<th>Teamwork Dimensions</th>
<th>Component Behaviours</th>
</tr>
</thead>
<tbody>
<tr>
<td>Information Exchange</td>
<td>Passing relevant information to appropriate teammate at the correct time</td>
</tr>
<tr>
<td></td>
<td>Gathering information from all relevant sources</td>
</tr>
<tr>
<td></td>
<td>Providing periodic situation updates to summarize big picture</td>
</tr>
<tr>
<td>Communication Delivery</td>
<td>Using proper terminology</td>
</tr>
<tr>
<td></td>
<td>Avoiding excess chatter</td>
</tr>
<tr>
<td></td>
<td>Speaking clearly and audibly</td>
</tr>
<tr>
<td></td>
<td>Delivering complete standard reports containing data in the appropriate order</td>
</tr>
<tr>
<td>Supporting Behaviour</td>
<td>Offering, requesting, and accepting backup when needed</td>
</tr>
<tr>
<td></td>
<td>Noting/correcting errors and accepting correction</td>
</tr>
<tr>
<td>Initiative and Leadership</td>
<td>Explicitly stating priorities</td>
</tr>
<tr>
<td></td>
<td>Providing guidance and suggestions to other team members</td>
</tr>
<tr>
<td></td>
<td>Providing direction to other team members</td>
</tr>
</tbody>
</table>

Source: Smith-Jentsch et al., 2008

The Comprehensive Assessment of Team Member Effectiveness (CATME) instrument is a form of peer evaluation developed from a distillation of numerous team behaviour measurement instruments. It
uses “peer evaluations” which have been shown to be a reliable and valid indicator of team process in prior research (e.g., Loughry, Ohland, & Moore, 2007; Taggar & Brown, 2001). With this form of assessment, following some interaction experiences, peers rate each other’s teamwork behaviours using various scales. For example, the 33-item version of the CATME (Loughry, Ohland, & Moore, 2007) has been validated in different team problem solving and decision making contexts. The teamwork behaviours in the CATME are categorised along the following five dimensions. With this instrument, peers anonymously rate each other based upon their experience in the team interaction. CATME relies upon Likert-type scales for rating team members on questions relating to four dimensions:

- Contributing to the team’s work
- Interacting with teammates
- Keeping the team on track
- Expecting quality

**Measures of team cognition**

149. We know from problem solving theory that mental models can be thought of as an organised understanding or mental representation of knowledge. But a team mental model is an organised understanding or mental representation of knowledge regarding a team’s goals, tasks, actions, members, and performance. This can be related to either taskwork or teamwork. According to team cognition theory, effective teams hold multiple compatible mental models (Cannon-Bowers, Salas, & Converse, 1993) which support both implicit and explicit coordination processes.

150. First, is an “equipment model” which captures the shared understanding of the technology and equipment necessary for the team task. Second is the task model which captures the understanding of procedures, task contingencies and strategies of the task. Third is the team interaction model which captures understanding of norms of the team, their responsibilities and the interaction patterns. More specifically, this includes roles, responsibilities, information sources, communication channels and role interdependencies and is essentially “teammate-generic”. Last, the teammate model captures understanding of each other’s knowledge, skills, and attitudes; that is, their strengths and weaknesses (Lim & Klein, 2006). This is an assessment of teammates’ knowledge, skills, abilities and tendencies and it is essentially “teammate-specific”.

151. What is critical for problem solving assessments using shared mental model theory is that we must distinguish between accuracy/quality of the mental model and the sharedness/overlap of the mental model. This is illustrated in Table 10.
Table 10, Accuracy and sharedness of mental models

<table>
<thead>
<tr>
<th>Sharedness</th>
<th>Low Quality Mental Model</th>
<th>High Quality Mental Model</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Low Agreement</strong></td>
<td>Worst Performance</td>
<td>Accurate but different (e.g., in situations with differing functional roles the team members may have accurate mental models of their own task but not their teammates)</td>
</tr>
<tr>
<td><strong>High Agreement</strong></td>
<td>Inaccurate but agreed upon mental models – they may be able to coordinate but it would be down the wrong solution paths (e.g., they will get to an incorrect solution rapidly)</td>
<td>Best Coordination</td>
</tr>
</tbody>
</table>

*Source: Lim and Klein (2006)*

152. Items used by Lim and Klein (2006) for pairwise comparisons to assess taskwork and teamwork models:

**Taskwork mental model survey items**
- Team members are proficient with their own weapons.
- Team members are proficient with other members’ weapons.
- Team members are very good at IA drills.
- Team members have a good understanding of the characteristics of the enemy’s weapons.
- Team members conduct routine maintenance of their equipment and weapons in the field.
- Team members are allowed to bring their personal weapon home.
- Team members understand the team’s task.
- Team members agree on a strategy to carry out the team task.
- Team members understand other members’ tasks.
- Tasks in the team are assigned according to individual member’s ability.
- Team members are cross-trained to carry out other members’ tasks.
- Team members adhere strictly to the team’s SOP.
- Team members understand the battlefield situation.
- The team is highly effective.

**Teamwork mental model survey items**

- Team members work well together.
- Team members often disagree with each other on issues faced by the team.
- Team members trust each other.
- Team members communicate openly with each other.
- Team members agree on decisions made in the team.
- Team members accept decisions made by the leader.
- Team members interact with one another outside the camp compound.
- Team members back each other up in carrying out team tasks.
Team members are similar to each other (e.g., personality, temperament, and abilities).
Team members are aware of other team members’ abilities.
Team members are aware of other team members’ personal backgrounds (e.g., family background, hobbies, and habits).
Team members know other team members’ family members.
Team members treat each other as friends.
The team is highly effective.

153. Early research in Team Member Surveys (TMS: Moreland & Myaskovsky, 2000) analysed team interactions to identify examples of awareness of differentiated member knowledge (specialisation), beliefs about team member reliability on that knowledge (credibility), and, last, the effectiveness in orchestrated knowledge processing (coordination). More recently, a large portion of the literature on TMS has used surveys of member agreement on expertise surrounding these three particular facets of TMS (see below). This technique was validated in an important series of studies conducted by Lewis (2003). Lewis examined how assessments of specialisation, credibility, and coordination could be compared against earlier measures of transactive memory (e.g., verbal protocol analysis, recall measures). The Lewis TMS scale relies upon Likert-type questions for rating team members.

154. Items from Lewis’s (2003) Transactive Memory System Scale:

Specialisation

Each team member has specialised knowledge of some aspect of our project.
I have knowledge about an aspect of the project that no other team member has.
Different team members are responsible for expertise in different areas.
The specialised knowledge of several different team members was needed to complete the project deliverables.
I know which team members have expertise in specific areas.

Credibility

I was comfortable accepting procedural suggestions from other team members.
I trusted that other members’ knowledge about the project was credible.
I was confident relying on the information that other team members brought to the discussion.
When other members gave information, I wanted to double-check it for myself. (reversed)
I did not have much faith in other members’ “expertise.” (reversed)

Coordination

Our team worked together in a well-coordinated fashion.
Our team had very few misunderstandings about what to do.
Our team needed to backtrack and start over a lot. (reversed)
We accomplished the task smoothly and efficiently.
There was much confusion about how we would accomplish the task. (reversed)
Leadership in Teams. Small teams do not always require a leader, while large groups always need some form of leadership. Much of the small team collaborations tasks being assessed within PISA would not require leadership by a single individual. The skills however, remain quite relevant to the CPS framework, incorporating many of the same competencies. Morgeson et al. (2010) developed the measure below to examine leadership in teams. This took a functional approach and outlined what types of behaviours in teams are related to leadership. Although this distinguishes between “action” and “transition” phases in teams and the different functions engaged by teams and their leaders it has items examining both “taskwork” and “teamwork”. As such, some variant of this may be warranted. That is, even members of a team who are not leaders can engage in leadership behaviours related to both taskwork and to teamwork.

Morgeson et al.’s Team Leadership Questionnaire (TLQ: 2010) includes the following functions:

**Transition Phase Leadership Functions**
- Compose team
- Define mission
- Establish expectations and goals
- Structure and plan
- Train and develop team
- Sensemaking
- Provide feedback

**Action Phase Leadership Functions**
- Monitor team
- Manage team boundaries
- Challenge team
- Perform team task
- Solve problems
- Provide resources
- Encourage team self-management
- Support social climate
APPENDIX C: SAMPLE UNITS

Purpose and scope of sample units

157. Two collaborative problem solving (CPS) units were developed as preliminary samples to illustrate the concepts of the assessment framework and show how it might be operationalised. These samples were tried out with a small number of students representing the target testing population in the context of cognitive lab interviews. This confirmed that the targeted skills could be demonstrated by students on the items and therefore potentially be measured. The samples are not intended as complete units, they do not cover all item types available, and they do not demonstrate the computer platform to be used in PISA 2015. It is intended that these samples will be replaced with released items following the field test that contain more detailed information about scoring and student performance.

158. Both units contain several items, showing how the different competencies in the CPS skills matrix (see Table 1) will be measured. The following assessment and educational principles guided the development of the sample units:

- Evidence Centered Design (ECD);
- Designing engaging CPS scenarios relevant for 15 year-old students;
- Phrase chat to operationalise the communication between the student and the computer agent. Canned words and phrases, appropriate for each situation, are presented in a menu format. The student constructs the dialogue by selecting phrases.
- Progression through each unit based on a mapping of the phrase-chat and actions possible for each situation. This functionality allows a standardised CPS assessment for each student.
- Consideration of cognitive load, colour contrast, and navigation complexity.
- Scaffolding: Embedded ‘rescue agent’ functionality (see paragraph 85) is provided by the computer agent(s) to allow sufficient control over interaction to assure assessment of the full range of CPS proficiencies in the skills matrix.
- Clear stimulus material and brief task statements to reduce the dependency on reading proficiency.

159. To illustrate an appropriate coverage of the major CPS skills, one of the units is characterised by a symmetrical nature of collaboration (The Aquarium), while in the second unit the student is assigned as a leader of a team with two agents to achieve a common goal (Class Logo). The assessment scenarios include simulations of disagreements between the agent and the student, collaboratively-orientated agent behaviours (e.g. initiates ideas, consensus-builder, and supports and praises other team members), as well as low collaborative agent behaviours (e.g. interrupts other members of the team, comments negatively about work of others). This allows a range of situations and team compositions to be presented to the student and therefore provides a sufficient dataset for CPS assessment.
Sample CPS unit: The Aquarium

Unit classifications

Context: in-school | outside school
Contents: consensus building, win-win negotiation, hidden profile (jigsaw) task
Type of CPS task: decision-making | coordination | production
Number of agents: Two agents, including the student
Target unit timing: 5 minutes | 10 minutes | 15 minutes | 20 minutes

Unit overview (team composition, problem context and overview of tasks)

160. In this unit the test-taker (TT) and Abby (a computer agent) collaborate to find the optimal conditions for fish living in an aquarium. The TT controls three variables (water, scenery, and lighting) and Abby controls three other variables (food, fish population, and temperature). Within each unit, there are several tasks, each of which may contain one or more assessment items. Scores are accumulated for the TT based on their performance on individual items.

161. The first task involves an initial consensus-building discussion between the TT and Abby on how to solve the problem (Exploring and Understanding). Then the team proceeds to a series of collaborative hidden-profile tasks to find the optimal conditions for the fish (Representing and Formulating, and Planning and Executing). In the final task, the TT monitors and reflects on the collaborative work. The TT is told that the number of attempts to solve the problem (known as ‘trials’) is limited to 5. The first attempt is set up so that the TT will not be able to optimally solve it, i.e., the underlying principle of the task forces the TT to be involved in at least two trials to gather sufficient data for CPS measurement.

Agent overview

162. Abby represents collaboratively-orientated agent behaviour (e.g., she initiates ideas, builds consensus, responds to, supports and praises the TT). However, in some situations Abby shows misunderstanding of the results and suggests misleading strategies to solve the problem. As long as the TT repairs misunderstandings or points out the advantages or disadvantage of different strategies, Abby is persuaded. However, if the TT does not repair misinterpretations of results or provide evidence that counters a suggested strategy, Abby will press for a rationale for accepting the strategy.

CPS Skills

163. In this unit the TT demonstrates CPS by establishing a shared understanding of the problem, repairing misunderstanding, and consensus building with a team member on the actions to be performed. The specific cells addressed in the framework matrix from Table 1 are described below.

Introduction and orientation

164. The unit starts with a briefing on the scenario outline and training on the Chat, Control Panel and Task Space areas of the screen. This section is not timed or scored.
Your school has a got a new aquarium to brighten up the reception area. You and your classmate Abby have been asked to set up the tank.

Your task is to work together with Abby to find the best conditions for the fish to live in the aquarium. Note: You will have 5 trials only.

The next screen will provide you with instructions on how to work with Abby.

*Click on the Next arrow in the top blue bar to continue the introduction.*
Learn how to chat with your classmate Abby.

You’ll need to select phrases from the options available to talk to Abby and ask her questions.

Let’s see how it works.

Click on the Next arrow to continue the introduction.
Introduction
Learn how to work with the Aquarium control panel.

CHAT
You
Hi Abby!
Abby
Hi! Are you ready?

Control panel
Water type: Fresh Sea
Scenery: Rocky Plants
Lightning: Low High

The control panel allows you to change the conditions in the aquarium. Abby has a different control panel.

Click on ‘Tryout conditions’ to continue the introduction
PISA 2015 Unit name: The Aquarium

Introduction
Learn how to see the results of your work with Abby.

CHAT
You
Hi Abby!
Abby
Hi! Are you ready?

Control panel
Water type: Fresh Sea
Scenery: Rocky Plants
Lightning: Low High

Tryout conditions

Results

The success rate of the conditions in the tank are shown here. Work with Abby to find the best conditions. Click on the Next arrow to continue to the first task.

Outline of unit tasks

Task 1: Establish shared understanding

Activity
Item 1: TT has to find out what Abby's controls are by asking her. If the TT asks, Abby shares her screen (and receives one score point for the skill). If the TT doesn’t ask and tries to move too quickly to actions, then Abby will perform a rescue and offer to share her screen (and the TT receives 0 score points for the skill).
Item 2: TT has to click on share screen button to reciprocate and allow Abby to see their controls. If the TT doesn’t perform the action (e.g. within a certain amount of time) then Abby will prompt again.
Item 3: TT offers a plan of how to reach the optimum solution and asks Abby for her point of view. If TT doesn’t offer an idea then Abby prompts. If still no idea offered then she will suggest an idea herself.
Item 4: TT has to ensure that Abby is in agreement (i.e., monitor shared understanding) before clicking on Next to try-out the new conditions for fish. If the TT doesn’t offer to click Next then Abby will rescue and ask, request, or encourage the TT to do something. When TT clicks Next, a pop-up asks if both team-members are ready to start next task. If TT did not agree with Abby beforehand then she can interject here and the TT can repair before clicking Yes to proceed.

Convergence
TT can see Abby's controls and vice versa. The TT and the agent have decided on a plan.
CPS skill(s) assessed across the items within the task
(A1) Discovering perspectives and abilities of team members; (A2) Discovering the type of collaborative interaction to solve the problem, along with goals; (C1) Communicating with team members about the actions to be/ being performed; (B1) Building a shared representation and negotiating the meaning of the problem (common ground)

The following figure illustrates Task 1:

**Task 2: Enacting plans and monitoring the results**

**Activity**
Item 1: TT monitors if Abby followed the plan as discussed, while Abby’s controls show that she didn’t follow the plan. TT shares his/her understanding of the result (fish conditions).
Item 2: TT has to offer a plan of how to proceed (e.g. "let’s change this variable"). If the TT doesn’t offer an idea then Abby can prompt. If still no idea is offered then Abby will suggest an idea herself.
Item 3: TT asks Abby for her point of view before implementing the plan. If the TT doesn’t ask then Abby shares her perspective with the TT.

**Convergence**
There is a change in the aquarium variables. The results of the trial are presented.
CPS skill(s) assessed
(A1) Discovering perspectives and abilities of team members; (A2) Discovering the type of collaborative interaction to solve the problem, along with goals; (C1) Communicating with team members about the actions to be/being performed; (B1) Building a shared representation and negotiating the meaning of the problem (common ground)
The following figure illustrates Task 2:

Task 3: Monitoring and repairing the shared understanding

Activity
Item 1: TT implements the plan as discussed with Abby. TT monitors if Abby followed the plan as discussed. Abby’s controls show that she is following the plan.
Item 2: TT shares his/her understanding of the result (fish conditions).
Item 3: TT repairs Abby’s misunderstanding of the result.
Item 4: TT has to offer a plan of how to proceed (e.g. "let’s change this variable to start"). If the TT doesn’t offer an idea then Abby can prompt. If still no idea is offered then Abby will suggest an idea herself.
Item 5: TT asks Abby for her point of view before implementing the plan. If the TT doesn’t then Abby shares her perspective with the TT.

Convergence
There is a change in the aquarium variables. The results of the trial are presented.
CPS skill(s) assessed across the items within the task. (C2) Enacting plans; (D2) Monitoring results of actions and evaluating success in solving the problem; (D1) Monitoring and repairing the shared understanding; (C1) Communicating with team members about the actions to be/ being performed; (B1) Building a shared representation and negotiating the meaning of the problem (common ground)

The following figure illustrates Task 3:

### Tasks 4-6
These are only presented if applicable, depending on the TT’s performance.

**Activity**
Optimising the strategy to solve the problem
Item 1: TT implements the plan as discussed with Abby. TT monitors if Abby followed the plan as discussed. Abby’s controls show that she is following the plan.
Item 2: TT shares his/her understanding of the result (fish conditions).
Item 3: TT has to offer a plan of how to proceed (e.g. "let’s change this variable"). If the TT doesn’t offer an idea then Abby can prompt. If still no idea is offered then Abby will suggest an idea herself.
Item 4: TT asks Abby for her point of view before implementing the plan. If the TT doesn’t, Abby shares her perspective with the TT.

**Convergence**
There is a change in the aquarium variables. The results of the trials are presented.

CPS skill(s) assessed across the items within the task,
(C2) Enacting plans; (D2) Monitoring results of actions and evaluating success in solving the problem;
(C1) Communicating with team members about the actions to be/ being performed.
As TTs may make multiple attempts to optimise the strategy to solve the problem, TTs would receive scores based on the number of attempts with fewer attempts resulting in higher scores (0-2) for C2. In addition, TTs would receive the maximum score achieved across attempts for skills D2 and C1.

The following figure illustrates Tasks 4-6:

**Task 7: Providing feedback**

**Activity**
Item 1: TT provides reflective feedback on their work with Abby. The TT is required to suggest a more collaborative method to promote collaboration with Abby on the task (e.g. talk more to Abby).

**Convergence**
Abby and the TT give feedback on the collaborative work.

CPS skill(s) assessed across the items within the task,
D3) Monitoring, providing feedback and adapting the team organisation and roles
The question is presented in a multiple choice format. There is a single optimal answer, which gives full credit. Some of the other options would receive partial credit and some options would receive no credit.

The following figure illustrates Task 7:

PISA 2015  Unit name: The Aquarium

Task 7 of 7

This is your opportunity to give feedback on your work with Abby.

What would you do differently in your work with Abby on similar task?

- Talk less to Abby
- Talk more to Abby
- Be more decisive
- Nothing, we did great
At the end of each task, there is a convergence point. This ensures that all TTs start from the same point and have the same opportunity to score.

Note: Score points are assigned based on exhibiting behaviour (performing actions or communicating). Items are scored polytomously (0, 1, 2) according to levels of the competency.

<table>
<thead>
<tr>
<th>Task #</th>
<th>Item #</th>
<th>Item short description</th>
<th>Target CPS skill</th>
<th>Data Type</th>
<th>Score range (0-x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>TT finds out what Abby's controls are by asking her.</td>
<td>(A1) Discovering perspectives and abilities of team members</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>1</td>
<td>2</td>
<td>TT clicks on share screen button to reciprocate and allow Abby to see their controls.</td>
<td>(A2) Discovering the type of collaborative interaction to solve the problem, along with goals</td>
<td>Action</td>
<td>0-2</td>
</tr>
<tr>
<td>1</td>
<td>3</td>
<td>TT offers a plan of how to reach the optimum solution</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>1</td>
<td>4</td>
<td>TT asks Abby for her point of view before implementing the plan</td>
<td>(B1) Building a shared representation and negotiating the meaning of the problem (common ground)</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>TT implements the plan as discussed with Abby</td>
<td>(C2) Enacting plans</td>
<td>Action</td>
<td>0-2</td>
</tr>
<tr>
<td>2</td>
<td>2</td>
<td>TT monitors if Abby followed the plan as discussed</td>
<td>(D1) Monitoring and repairing the shared understanding</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>2</td>
<td>3</td>
<td>TT shares his/her understanding of the result (fish conditions)</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>2</td>
<td>4</td>
<td>TT offers a plan of how to reach the optimum solution</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>2</td>
<td>5</td>
<td>TT asks Abby for her point of view before implementing the plan</td>
<td>(B1) Building a shared representation and negotiating the meaning of the problem (common ground)</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>3</td>
<td>1</td>
<td>TT implements the plan as discussed with Abby</td>
<td>(C2) Enacting plans</td>
<td>Action</td>
<td>0-2</td>
</tr>
<tr>
<td>3</td>
<td>2</td>
<td>TT shares his/her understanding of the result (fish conditions)</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>3</td>
<td>3</td>
<td>TT repairs Abby’s misunderstanding of the result</td>
<td>(D1) Monitoring and repairing the shared understanding</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>3</td>
<td>4</td>
<td>TT offers a plan of how to reach the optimum solution</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>3</td>
<td>5</td>
<td>TT asks Abby for her point of view before implementing</td>
<td>(B1) Building a shared representation and negotiating</td>
<td>Communication</td>
<td>0-2</td>
</tr>
<tr>
<td>#</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
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<tr>
<td></td>
<td>the plan</td>
<td>the meaning of the problem (common ground)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TT implements the plan as discussed with Abby</td>
<td>(C2) Enacting plans</td>
<td>Action</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TT shares his/her understanding of the result (fish conditions)</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>TT asks Abby for her point of view before implementing the plan</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TT implements the plan as discussed with Abby</td>
<td>(C2) Enacting plans</td>
<td>Action</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TT shares his/her understanding of the result (fish conditions)</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>TT asks Abby for her point of view before implementing the plan</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>TT implements the plan as discussed with Abby</td>
<td>(C2) Enacting plans</td>
<td>Action</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>TT shares his/her understanding of the result (fish conditions)</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>TT asks Abby for her point of view before implementing the plan</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication</td>
<td>0-2</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>TT provides reflective feedback on the work with Abby</td>
<td>D3) Monitoring, providing feedback and adapting the team organisation and roles</td>
<td>Probe, MC</td>
<td>0-2</td>
<td></td>
</tr>
</tbody>
</table>
Sample CPS unit: Class Logo

Unit classifications

<table>
<thead>
<tr>
<th>Context: in-school</th>
<th>outside school</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contents: <strong>consensus building</strong>, win-win negotiation, <strong>hidden profile (jigsaw) task</strong></td>
<td></td>
</tr>
<tr>
<td>Type of CPS task: <strong>decision-making</strong></td>
<td>coordination</td>
</tr>
<tr>
<td>Number of agents: <strong>Three agents</strong>, including the student</td>
<td></td>
</tr>
<tr>
<td>Target unit timing: 5 minutes</td>
<td>10 minutes</td>
</tr>
</tbody>
</table>

Unit overview (team composition, problem context and overview of tasks)

166. In this unit, a team of three students, the test-taker (TT), Mark and Sarah (computer agents) collaborate to produce a logo for a sport event. The goal is to achieve a 5-star rating from the class. Mark and Sarah draw the logo and the TT’s role is to lead the group.

167. The first task of the unit is an initial discussion between the TT, Mark and Sarah on how to design the logo. Then the team proceed to produce drafts and have them rated. The TT encounters challenges in collaborating with Mark and Sarah during this stage. Finally, the TT gives feedback on the collaborative tasks. The TT is told that the number of attempts to design the draft logo (known as ‘trials’) is limited to 5 only. The underlying structure of the task forces the TT to be involved in at least two trials to achieve a 5-star rating in order to provide sufficient data for CPS measurement.

Agent overview

168. Mark represents collaboratively-orientated agent behaviour (e.g. he initiates ideas, builds consensus, responds to, supports and praises the TT). He also reveals information about what to do in the task (e.g. shares his past experience that is relevant to the task). However, in some situations Mark shows a misunderstanding of the results. As long as the TT repairs any misunderstandings or points out the advantages or disadvantages of different strategies, Mark is persuaded. However, if the TT doesn’t repair misinterpretations of results or provide evidence that counters a suggested strategy, Mark will press for a rationale for accepting the strategy. Sarah represents the behaviour of a low collaboratively-orientated agent (e.g. she interrupts other members of the team, disagrees with the TT and Mark, comments negatively about Mark’s work, and doesn’t follow plans).

CPS Skills

169. In this unit, the TT demonstrates CPS proficiency by establishing a shared understanding of the problem, repairing misunderstanding, monitoring the agents’ work, and consensus building with team members. The specific cells addressed in the framework skills matrix (Table 1) are described below.

Introduction and orientation

170. The unit starts with a briefing on the scenario outline and training on the Chat, Control Panel and Task Space areas of the screen. This section is not timed or scored.
Your school is holding a sports competition. Your class has been asked to help with the preparations.

You and your classmates, Mark and Sarah, must design a logo to be used on posters advertising the event.

In this task, Mark and Sarah will draw the logo and your role is to lead the group. The class will rate the designs and your goal is to reach a logo with a 5-star rating.

The next screen will provide you with instructions on how to work with Mark and Sarah.

*Click on the Next arrow in the top blue bar to continue the introduction.*
Learn how to chat with your classmates Mark and Sarah.

Select the first phrase you want to send to Mark and Sarah:

- Hi Mark and Sarah!
- Glad to be working with you.
- Are you ready?
Learn how to chat with your classmates Mark and Sarah.
Click Next to continue the introduction.

CHAT

You

Hi Mark and Sarah!

Sarah

Hi! I'm ready to start.

Mark

Let's go for it!
Introduction
Read the background information about the sports competition.
Click Next to continue the introduction.

CHAT
You
Hi Mark and Sarah!

Hi! I’m ready to start.

Sarah

Let’s go for it!

Mark

Sports competition information
When: Summer
Where: Park
What: Running, Soccer, Tennis
Logo criteria: Colorful, simple, not used before
Previous logos: 🏆🔥
Hi Mark and Sarah!

Hi! I’m ready to start.

Let’s go for it!

The logo drafts panel allows you to see the current logo drafts. Your team have 5 trials to reach 5-star rating for your logo.

Current logo designed by Mark

Current logo designed by Sarah

Sports competition information
When: Summer   Where: Park   What: Running, Soccer, Tennis
Logo criteria: Colorful, simple, not used before
Previous logos:
**Outline of unit tasks**

**Task 1: Establish shared understanding**

**Activity**

Item 1: TT asks Mark and Sarah to describe their abilities in logo design. Mark and Sarah provide a short description. If the TT doesn’t ask after a certain amount of time or a set number of exchanges then Mark initiates to describe his ability. There can be multiple exchanges to release the information gradually.

Item 2: TT asks Mark and Sarah about the tools available for them to design the logo. If the TT does not do this then Mark initiates and provides a description.

Item 3: TT offers a plan of how to design a logo (e.g. provides suggestions in the chat on symbols and colours) and asks Mark and Sarah for their point of view. Mark asks the TT to provide reasoning (e.g. why do you think so?). If the TT provides some reasoning for the plan then Mark agrees. Otherwise, Mark disagrees and shares his alternative plan with the team. Sarah disagrees with both the TT and Mark’s plans and suggests her own plan without providing any reasoning.

If the TT doesn’t offer an idea then Mark and Sarah prompt. If still no idea is offered then Mark and Sarah will suggest two different ideas for use of symbols and colours.

Item 4: TT has to ensure that Mark and Sarah are in agreement (e.g. monitor shared understanding) before clicking on Next to allow them to produce draft logos. If the TT doesn’t offer to click Next then Mark will rescue and ask if they should do that. When the TT clicks Next, a pop-up asks if all the team-members are
ready to design the first logo draft. If the TT did not agree with Mark and Sarah beforehand then they can interject here and the TT can repair before clicking Yes to proceed.

Convergence
A plan is agreed. The TT sees Mark and Sarah’s draft logos.

CPS skill(s) assessed
(A1) Discovering perspectives and abilities of team members; (A2) Discovering the type of collaborative interaction to solve the problem, along with goals; (C1) Communicating with team members about the actions to be/being performed; (B1) Building a shared representation and negotiating the meaning of the problem (common ground)

The following figure illustrates Task 1:

Task 2: Monitoring the results and repairing misunderstanding

Activity
Item 1: TT monitors if Mark and Sarah followed the plan as discussed, and raises additional comments and suggestions to improve the logo drafts.
Item 2: TT asks the agents for their points of view and their readiness to proceed before clicking on ‘Rate the logos’. While Mark is ready to rate the logos, Sarah raises concerns regarding the readiness of the logo
drafts, without providing any reasoning. TT asks Sarah to explain her concerns. If the TT does not, Mark initiates the question. The team agrees to rate the logo drafts.

Item 3: TT shares his/her understanding of the result (the rating and comments for each logo draft). If not, Mark provides a reasonable interpretation.

Item 4: TT has to offer a plan of how to proceed (e.g. "let’s change the symbol"). If the TT doesn’t offer an idea then Mark can prompt. If still no idea is offered then Mark will suggest an idea himself.

Item 5: Sarah raises a negative comment regarding Mark’s logo draft (e.g. “I don’t think that we should work with Mark’s logo. It got a very low rating. Let’s switch to mine”), but Mark’s logo receives a higher rating than Sarah’s logo. TT has to repair Sarah’s misunderstanding of the collaborative work and/or the results, as well as clarify the roles of the team members.

Convergence
TT can see the ratings and comments for the logo drafts. A plan is decided.

CPS skill(s) assessed
(D2) Monitoring results of actions and evaluating success in solving the problem; (D1) Monitoring and repairing the shared understanding; (B3) Describe roles and team organisation (communication protocol/rules of engagement)

The following figures illustrate Task 2:
Task 2 of 7

Look at the comments from your class and use chat to communicate with Mark and Sarah on how to improve Mark’s logo. Then, click Next to see new design Mark produces.

Sports competition information
When: Summer Where: Park What: Running, Soccer, Tennis
Logo criteria: Colorful, simple, not used before
Previous logos:

DRAFTS
Your team have 5 trials only to reach 5-star rating for your logo. This is your FIRST TRIAL.

Chat
Wait! I don’t think that we should work with Mark’s logo. It got a very low rating. Let’s switch to mine 😊
I don’t think so. Let’s try to improve Mark’s logo.
Agree. I think I should add more colors to the logo. Okay?
Go for it!
Why do you think so?
What about changing the symbol?
I want to know what Sarah’s thoughts are on that.

HISTORY
Trial 1
Comments from your class
Great symbol!
It’s not very different from the burning ball used last year. Try to think of something new.
Don’t you want to use more colors?

Task 3: Monitoring and repairing the shared understanding

Activity
Item 1: TT monitors if Mark and Sarah followed the plan as discussed, and raises additional comments and suggestions to improve the logo drafts.
Item 2: TT discovers that Sarah didn’t provide an updated version for the logo as discussed. TT asks Sarah to share the updated draft (e.g. “Sarah, can you share your new draft with us?”). If the TT does not then Mark prompts Sarah with a question. Sarah then shares the draft with the team.
Item 3: TT asks the agents for their points of view and their readiness to proceed before clicking on ‘Rate the logos’. If the TT does not then Mark initiates the question. The team agrees to rate the updated logo drafts.
Item 4: TT shares his/her understanding of the result (the rating and comments for each logo draft). Mark provides an incorrect interpretation of the result (e.g. “Oh, now the rating is even worse”). TT has to repair this misunderstanding and/or invites Sarah to comment. Sarah comments with a correct explanation.
Item 5: TT has to offer a plan of how to proceed (e.g. “let’s change the symbol”). If the TT doesn’t offer an idea then Mark prompts. If still no idea is offered then Mark will suggest an idea himself. The team agrees to proceed.

Convergence
TT can see the ratings and comments for the updated logo drafts. Any misunderstanding is repaired. A plan is decided.

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CPS skill(s) assessed
(D2) Monitoring results of actions and evaluating success in solving the problem; (D1) Monitoring and repairing the shared understanding; (C1) Communicating with team members about the actions to be/being performed.

The following figure illustrates Task 3:

Task 4: Discovering perspectives and abilities of team members

Activity
Item 1: TT monitors if Mark and Sarah followed the plan as discussed, and raises additional comments and suggestions to improve the logo drafts.
Item 2: Mark shares with the team that he designed all the previous logos for the class. Sarah comments that it doesn’t matter. TT has to explore Mark’s newly revealed abilities. Mark provides a clue on how to design a logo that would reach a 5-star rating. If the TT chooses not to explore Mark’s experience, the clue is not presented during this stage.
Item 3: TT asks the agents for their points of view and their readiness to proceed before clicking on ‘Rate the logos’. If the TT does not, Mark initiates the question. The team agrees to rate the logo drafts.
Item 4: TT shares his/her understanding of the result (the rating and comments for each logo draft). TT has to offer a plan of how to proceed (e.g. "let’s change the symbol"). If the TT doesn’t offer an idea then Mark can prompt. If still no idea is offered then Mark will suggest an idea himself.
The team agrees to proceed.

**Convergence**
TT can see the rating and comments for the updated logo drafts. A clue for a solution is conditionally provided. A new plan is decided.

**CPS skill(s) assessed**
(A1) Discovering perspectives and abilities of team members; (D2) Monitoring results of actions and evaluating success in solving the problem; (C1) Communicating with team members about the actions to be/being performed.

The following figure illustrates Task 4:

**Task 5–6**
These are only presented if applicable, depending on the TT’s performance.

**Activity**
Optimising the strategy to solve the problem
Item 1: TT monitors if Mark and Sarah followed the plan as discussed, and raises additional comments and suggestions to improve the logo drafts.
Item 2: TT asks the agents for their points of view and their readiness to proceed before clicking on ‘Rate the logos’. If the TT does not, Mark initiates the question. The team agrees to rate the logo drafts.
Item 3: TT shares his/her understanding of the result (the rating and comments for each logo draft). TT has to offer a plan of how to proceed (e.g. "let’s change the symbol"). If the TT doesn’t offer an idea then Mark prompts. If still no idea is offered then Mark will suggest an idea himself.
The team agrees to proceed.

Convergence
TT can see the rating and comments for the updated logo drafts. A new plan is decided.

CPS skill(s) assessed
(D2) Monitoring results of actions and evaluating success in solving the problem; (C1) Communicating with team members about the actions to be/being performed; C2 Enacting plans.
As TTs may make multiple attempts to optimise the strategy to solve the problem, TTs would receive scores based on the number of attempts with fewer attempts resulting in higher scores (0-2) for C2. In addition, TTs would receive the maximum score achieved across attempts for skills D2 and C1.
The following figure illustrates the ending of Task 5-6:

![PISA 2015 Unit name: Class Logo](image)

Task 7: Feedback

Activity
Item 1: TT provides reflective feedback on the work with Mark and Sarah regarding shared understanding of the task.
Item 2: TT suggests a collaborative method (e.g. talk more to Sarah) to promote better collaboration on the task.

Convergence
TT, Mark and Sarah share feedback on the task.

**CPS skill(s) assessed**
D3) Monitoring, providing feedback and adapting the team organisation and roles

These questions are presented in a multiple choice format. There is a single optimal answer, which gives full credit. Some of the other options would receive partial credit and some options would receive no credit.

The following figure illustrates Task 7:

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**Unit measurement profile**

At the end of each task, there is a convergence point. This ensures that all TTs start from the same point and have the same opportunity to score.

Note: Score points are assigned based on exhibiting behaviour (performing actions or communicating). Items are scored polytomously (0, 1, 2) according to levels of the competency.

<table>
<thead>
<tr>
<th>Task. #</th>
<th>Item #</th>
<th>Item short description</th>
<th>Target CPS skill</th>
<th>Data Type</th>
<th>Score range (0-x)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>1</td>
<td>TT explores Mark’s and Sarah’s abilities in logo</td>
<td>(A1) Discovering perspectives and abilities of team members</td>
<td>Communication</td>
<td>0-2</td>
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<td>1</td>
<td>2</td>
<td>TT asks Mark and Sarah about the tools available for them to design a logo.</td>
<td>(A2) Discovering the type of collaborative interaction to solve the problem, along with goals</td>
<td>Communication 0-2</td>
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<tr>
<td>1</td>
<td>3</td>
<td>TT offers a plan of how to improve the logo drafts</td>
<td>(C1) Communicating with team members about the actions to be/ being performed</td>
<td>Communication 0-2</td>
<td></td>
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<tr>
<td>1</td>
<td>4</td>
<td>TT asks Mark and Sarah for their point of view before implementing the plan</td>
<td>(B1) Building a shared representation and negotiating the meaning of the problem (common ground)</td>
<td>Communication 0-2</td>
<td></td>
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<tr>
<td>2</td>
<td>1</td>
<td>TT monitors if Mark and Sarah followed the plan as discussed</td>
<td>(D1) Monitoring and repairing the shared understanding</td>
<td>Communication 0-2</td>
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<td>TT shares his/her understanding of the result</td>
<td>(D2) Monitoring results of actions and evaluating success in solving the problem</td>
<td>Communication 0-2</td>
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<td>TT repairs Sarah’s misunderstanding of the collaborative work and the roles of the team members</td>
<td>(B3) Describe roles and team organisation (communication protocol/rules of engagement)</td>
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<td>TT repairs Sarah’s misunderstanding of the actions to be performed</td>
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<td>TT explores Mark’s new discovered abilities</td>
<td>(A1) Discovering perspectives and abilities of team members;</td>
<td>Communication 0-2</td>
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<td>TT provides reflective feedback on the work with Mark and Sarah</td>
<td>D3) Monitoring, providing feedback and adapting the team organisation and roles</td>
<td>Probe response</td>
<td>0-2</td>
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<td>7</td>
<td>2</td>
<td>TT suggests a collaborative method to improve CPS performance</td>
<td>D3) Monitoring, providing feedback and adapting the team organisation and roles</td>
<td>Probe response</td>
<td>0-2</td>
</tr>
</tbody>
</table>
REFERENCES


OECD (2010) New Millennium Learners Project: Challenging our Views on ICT and Learning www.oecd.org/document/10/0,3343,en_2649_35845581_38358154_1_1_1_1,00.html


